[4910-13]

DEPARTMENT OF TRANSPORTATION

Federal Aviation Administration

14 CFR Parts 23, 25, 27, and 29

[Docket No.

; Notice No.

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RIN 2120-

High Intensity Radiated Fields (HIRF) Protection for Aircraft Electrical and Electronic Systems

AGENCY: Federal Aviation Administration (FAA), DOT.

ACTION: Notice of proposed rulemaking (NPRM).

SUMMARY: The FAA proposes to add certification standards for aircraft electrical and electronic systems because of their increased use in aircraft and vulnerability to high intensity radiated fields (HIRF). The proposed rule would define specific HIRF certification requirements to provide protection against HIRF effects that would apply to any applicant seeking issuance of a type certificate, amended type certificate, or supplemental type certificate for the initial approval of a new type of aircraft design or a change in aircraft type design.

DATES: Comments must be received on or before [Insert date 90 days after date of publication in the <u>Federal Register.</u>]

ADDRESSES: Comments on this document should be mailed or delivered, in duplicate, to: U.S. Department of

Transportation Dockets, Docket No. [],

400 Seventh Street SW., Room Plaza 401, Washington,

DC 20590. Comments may be filed and examined in

Room Plaza 401 between 10 a.m. and 5 p.m. weekdays, except

Federal holidays. Comments also may be sent electronically

at anytime to the Dockets Management System (DMS) at the

following Internet address: http://dms.dot.gov/.

Commenters who wish to file comments electronically should

follow the instructions on the DMS web page.

FOR FURTHER INFORMATION CONTACT: John P. Dimtroff, Avionics Systems Branch, Aircraft Engineering Division, AIR-130, Federal Aviation Administration, 800 Independence Avenue SW., Washington, DC 20591; telephone (425) 227-1371; electronic mail: John.Dimtroff@faa.gov.

SUPPLEMENTARY INFORMATION:

Comments Invited

Interested persons are invited to participate in the making of the proposed action by submitting such written data, views, or arguments as they may desire. Comments relating to the environmental, energy, federalism, or economic impact that might result from adopting the proposals in this document also are invited. Substantive comments should be accompanied by cost estimates.

number and be submitted in duplicate to the DOT Rules Docket address specified above.

All comments received, as well as a report summarizing each substantive public contact with FAA personnel concerning this proposed rulemaking, will be filed in the docket. The docket is available for public inspection before and after the comment closing date.

All comments received on or before the closing date will be considered by the Administrator before taking action on this proposed rulemaking. Comments filed late will be considered as far as possible without incurring expense or delay. The proposals in this document may be changed in light of the comments received.

Commenters wishing the FAA to acknowledge receipt of their comments submitted in response to this document must include a pre-addressed, stamped postcard with those comments on which the following statement is made:

"Comments to Docket No. []." The postcard will be date stamped and mailed to the commenter.

Availability of NPRMs

An electronic copy of this document may be downloaded using a modem and suitable communications software from the FAA regulations section of the FedWorld electronic bulletin board service (telephone: (703) 321-3339) or the Government

Printing Office (GPO)'s electronic bulletin board service (telephone: (202) 512-1661).

Internet users may reach the FAA's web page at http://www.faa.gov/avr/arm/nprm/nprm.htm or the GPO's web page at http://www.access.gpo.gov/nara for access to recently published rulemaking documents.

Any person may obtain a copy of this document by submitting a request to the Federal Aviation Administration, Office of Rulemaking, ARM-1, 800 Independence Avenue SW., Washington, DC 20591, or by calling (202) 267-9680. Communications must identify the docket or notice number of this NPRM.

Persons interested in being placed on the mailing list for future rulemaking documents should request from the above office a copy of Advisory Circular No. 11-2A, Notice of Proposed Rulemaking Distribution System, which describes the application procedure.

Background

Statement of the Problem

The electromagnetic HIRF environment exists because of the transmission of electromagnetic energy from radar, radio, and television transmitters, and other ground-based, shipborne, or airborne radio frequency (RF) transmitters. In the late 1970s, designs for civil aircraft were first proposed that included flight-critical electronic controls,

electronic displays, and electronic engine controls, such as those used in military aircraft since the mid-1970s.

Accidents and incidents on civil aircraft with flight-critical electrical and electronic systems have brought attention to the need to provide HIRF protection for these critical systems.

On April 15, 1990, an Airship Industries Airship-600 traversed the beam of a highly directional RF broadcast from a Voice of America antenna and suffered a complete loss of power in both engines that resulted in a collision with trees and the terrain during a forced landing in North Carolina. The National Transportation Safety Board stated in its investigation of the accident that the lack of HIRF certification standards for airships at the time of the airship's certification was a factor in the accident.

More recently, on March 2, 1999, a Robinson R-44 helicopter passed within 1,000 meters of the main beam of a high frequency (HF), high energy broadcast transmission antenna in Portugal. The pilot reported strong interference in the intercommunication and communication systems and navigation radios, followed by illumination of the low rotor revolutions per minute (RPM) and clutch lights. He further noted the engine noise dropped to idle level and the engine and rotor RPM indicators dropped. The pilot entered autorotation and landed the helicopter successfully with

only damage to the main rotors. Following landing, the pilot reported all cockpit indications were normal. The accident investigation division of Portugal's Instituto

Nacional da Aviação Civil stated in its investigation of the incident that the probable cause was severe electromagnetic and RF interference.

The FAA has issued three airworthiness directives (ADs) in response to HIRF effects between 1991 and 1998. In AD 91-03-05, Airship Industries Skyship Model 600 Airships, the FAA required the installation of a modified ignition control unit because of the previously described dual-engine failure that occurred when the ignition control units were exposed to HIRF.

In AD 96-21-13, LITEF GmbH Attitude and Heading System Reference (AHRS) Unit Model LCR-92, LCR-92S, and LCR-92H, the FAA stated there are indications of an unusual AHRS reaction to certain RF signals that could cause the AHRS to give misleading roll and pitch information. As a result, the FAA required either (1) the installation of a placard adjacent to each primary attitude indicator stating that flight is limited to day visual flight rules (VFR) operations only, or, if the primary attitude instruments have been deactivated, stating flight is limited to VFR operations only, or (2) a modification and inspection of the AHRS wiring cables, a repetitive inspection of the cable

shielding, and an insertion of a statement in the aircraft flight manual regarding unannounced heading errors that could occur after switching from DC to MAG or operation of the ± switch in flight with any bank angle.

In AD 98-24-05, HOAC-Austria Model DV-20 Katana Airplanes, the FAA required the replacement of engine electronic modules to prevent electromagnetic interference in the engine electronic module. The FAA required the replacement of the modules because electromagnetic interference could cause the airplane's engine to stop due to an interruption in the ignition system resulting in loss of control.

Concern for the protection of electrical and electronic systems in aircraft has increased substantially in recent years because of—

- (1) A greater dependence on electrical and electronic systems performing functions required for the continued safe flight and landing of the aircraft;
- (2) The reduced electromagnetic shielding afforded by some composite materials used in aircraft designs;
- (3) The increase in susceptibility of electrical and electronic systems to HIRF because of increased data bus or processor operating speeds, higher density integrated circuits and cards, and greater sensitivities of electronic equipment;

- (4) Expanded frequency usage, especially above
 1 gigahertz (GHz);
- (5) The increased severity of the HIRF environment because of an increase in the number and power of RF transmitters; and
- (6) The adverse effects experienced by some aircraft when exposed to HIRF.

History

In 1987, the FAA contracted with the Department of Defense Electromagnetic Compatibility Analysis Center (ECAC) (currently the Joint Spectrum Center) to research and define the U.S. HIRF environment to be used for the certification of aircraft and the development of Technical Standard Orders. In February 1988, the FAA and the Joint Aviation Authorities (JAA) tasked the Society of Automotive Engineers (SAE) and the European Organization for Civil Aviation Equipment (EUROCAE) to develop guidance material and acceptable means of compliance (AMC) documents to support FAA and JAA efforts to develop HIRF certification requirements. In response, one SAE panel reviewed and revised the assumptions used for ECAC's definition of a HIRF environment and published several iterations of that HIRF environment for fixed wing aircraft based on revised assumptions. Another SAE panel prepared advisory material to support the FAA's rulemaking efforts.

Because of efforts being undertaken by the FAA and the JAA to harmonize the JAA's airworthiness requirements and the FAA's airworthiness regulations in the early 1990s, the FAA and the JAA agreed that the proposed HIRF certification requirements needed further international harmonization before a rule could be adopted.

As a result, the FAA established the Electromagnetic Effects Harmonization Working Group (EEHWG) under the Aviation Rulemaking Advisory Committee on Transport Airplane and Engine Issues (57 FR 58843, December 11, 1992) and tasked it to develop, in coordination with the JAA, HIRF certification requirements for aircraft. The EEHWG expanded the existing HIRF environments developed by the ECAC with the SAE committee to include HIRF environments appropriate for aircraft certificated under parts 23, 25, 27, and 29. In 1994, the FAA tasked the Naval Air Warfare Center Aircraft Division (NAWCAD) to conduct a HIRF electromagnetic field survey study to support the efforts of the EEHWG. The EEHWG also received HIRF electromagnetic environment data on European transmitters from European governments. The EEHWG converted the U.S. and European data into a set of harmonized HIRF environments, prepared draft advisory circular/advisory material joint (AC/AMJ), and also prepared the harmonized FAA draft HIRF NPRM and JAA draft HIRF notice of proposed amendment (NPA). In November 1997, the EEHWG adopted a set of HIRF environments agreed on by the FAA, the JAA, and the industry participants. The HIRF environments contained in these proposed rules reflect the HIRF environments adopted by the EEHWG. In addition, the information contained in this NPRM is based on the draft NPRM/NPA document.

Current Requirements

Currently, §§ 23.1309, 25.1309, 27.1309, and 29.1309 provide general certification requirements applicable to the installation of all aircraft systems and equipment, but they do not include specific certification requirements for protection against HIRF. AC No. 23.1309-1C, "Equipment, Systems, and Installations in Part 23 Airplanes," states that § 23.1309 is not intended to include certification requirements for protection against HIRF. Because of the lack of specific HIRF certification requirements, special conditions to address HIRF have been imposed on applicants seeking issuance of a type certificate (TC), amended TC, or supplemental type certificate (STC) since 1986. Applicants have the option of demonstrating compliance using the external HIRF environment defined in HIRF special conditions or a system bench test level of 100 volts per meter (V/m), whichever is less. The FAA issued additional interim quidance for the certification of aircraft operating in HIRF environments in FAA Notice No. N8110.71, Guidance for the Certification of Aircraft Operating in High Intensity Radiated Field (HIRF) Environments, dated April 2, 1998, with a cancellation date of April 2, 1999. [The FAA has issued [insert additional interim quidance.] REMOVE SENETENCE IF NO GUIDANCE IS AVAILABLE BEFORE PUBLICATION.]

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Development of the HIRF Environments

The HIRF environment was originally categorized into the rotorcraft severe, fixed-wing severe, certification, and normal HIRF environments. Each of these four HIRF environments was developed based on specific assumptions dealing with distance between the aircraft and transmitter, appropriate for the class of aircraft. The EEHWG investigated the likelihood that fixed wing aircraft and rotorcraft operate in the vicinity of these high power transmitters. The EEHWG also investigated testing practicality and availability of test facilities for the HIRF environment levels. The EEHWG used these factors to select the levels for the HIRF environments used in the proposed rules.

The U.S. HIRF environments were calculated by the NAWCAD based on the assumptions agreed on by the EEHWG, using unclassified and classified data on government and civilian transmitters, such as electromagnetic effects data bases, technical manuals, and information provided by transmitter operators.

In developing the U.S. rotorcraft severe, fixed-wing severe, certification, and normal HIRF environments, the NAWCAD reviewed the Joint Spectrum Center's HIRF data and updated the transmitter information to ensure the most current licensed and authorized transmitters were used. A

subset of data was created that contained the licensing information and equipment descriptions on the 25 highest radiated power transmitters in each of the following 17 HIRF frequency bands for each of the HIRF environments: 10 to 100 kilohertz (kHz), 100 to 500 kHz, 500 kHz to 2 megahertz (MHz), 2 to 30 MHz, 30 to 70 MHz, 70 to 100 MHz, 100 to 200 MHz, 200 to 400 MHz, 400 to 700 MHz, 700 MHz to 1 GHz, 1 to 2 GHz, 2 to 4 GHz, 4 to 6 GHz, 6 to 8 GHz, 8 to 12 GHz, 12 to 18 GHz, and 18 to 40 GHz.

The NAWCAD then selected the five transmitters with the highest peak and the five transmitters with the highest average radiated power in each frequency band. To select each of these transmitters, the NAWCAD performed further analysis and investigation to confirm the transmitters were operating and producing the radiated power indicated in the licensing information. If one of the transmitters was located in prohibited or restricted airspace, the NAWCAD noted that information, removed the transmitter from consideration as a potential HIRF transmitter, and selected the next lower radiated power transmitter not in prohibited or restricted airspace. Once the five highest peak and five highest average power transmitters were identified and confirmed operational, the NAWCAD recalculated their electromagnetic field strengths, in V/m. Finally, the NAWCAD created each U.S. HIRF environment using the

transmitters with the highest calculated field strength in each of the 17 frequency bands for peak and average power.

JAA-member nations undertook similar efforts to develop the European HIRF environments.

To create the harmonized HIRF environments the EEHWG compared the U.S. and European HIRF environments and selected the transmitters with the highest field strength values for each of the 17 frequency bands for peak and average power.

The harmonized HIRF environments are based on the individual U.S. and European HIRF environments and form an estimate of the international electromagnetic field strength, in V/m, over a frequency range from 10 kHz to 40 GHz. The FAA, the JAA, and other governmental and international agencies, such as the International Civil Aviation Organization (ICAO) and the International Telecommunications Union, plan to monitor the future growth of the harmonized HIRF environment.

The following general assumptions were used to develop the HIRF environments:

- (1) The HIRF environment was divided into 17 frequency bands, ranging from 10 kHz to 40 GHz.
- (2) The main-beam illumination and maximum-beam gain of the transmitting antenna were used.

- (3) The duty cycle of pulsed transmitters was used to calculate the average power; however, the modulation of a transmitted signal was not considered. The duty cycle was defined as the product of pulse width and pulse repetition frequency and applied only to pulsed systems.
- (4) Constructive ground reflections (direct and reflected waves) of HF signals were assumed to be in phase.
- (5) The noncumulative field strength was calculated; however, simultaneous illumination by more than one antenna was not considered.
- (6) Near-field corrections were used for aperture and phased-array antennas.
- (7) Field strengths were calculated at minimum distances dependent on the locations of the transmitter and the aircraft.
- (8) The field strength was calculated for each frequency band using the maximum field strength for all transmitters within that band for peak and average power, given in V/m. The field strength values were expressed in root-mean-square (rms) units measured during the peak of the modulation cycle, as many laboratory instruments indicate amplitude. The true peak field strength values will be higher by a factor of the square root of two.

- (9) The peak field strength was based on the transmitter's maximum authorized peak power, maximum antenna gain, and system losses.
- (10) The average field strength was based on the transmitter's maximum authorized peak power, maximum duty cycle, maximum antenna gain, and system losses.
- maximum antenna elevation were taken into account. The slant range was defined as the line-of-sight distance between the transmitter and the aircraft. The adjusted slant range was defined as the line-of-sight distance at which the aircraft encounters the maximum illumination from an elevation-limited antenna's main beam. If the transmitter's maximum antenna elevation angle was not available, 90 degrees was assumed.
- (12) Transmitters located in prohibited areas, restricted areas, or warning areas (ICAO danger areas) were not included.
- (13) Proposed special-use airspace (SUA) boundaries were defined for selected high-power transmitters. The size of the proposed SUA was derived from transmitter data and, therefore, varied from transmitter site to transmitter site. For transmitters located within a proposed SUA, the transmitter field strength was assessed at the boundary of the proposed SUA.

- (14) Transmitters with experimental licenses and nonairport mobile tactical military transmitters were excluded.
- (15) Certain transmitters have the capability to reduce power or restrict scanning coverage if aircraft operate in close vicinity. This capability was assumed to be operating for calculating illumination and power density.
- estimated at 3 decibels in the U.S. HIRF environment, unless transmitter data were available. For further information on the development of the HIRF environments, consult NAWCAD Technical Memorandum, Report No. NAWCADPAX-98-156-TM, High Intensity Radiated Field External Environments for Civil Aircraft Operating in the United States of America (Unclassified), dated November 12, 1998. A copy of the NAWCAD Technical Memorandum is available in the docket.

UNEDITED WORKING DRAFT

January 26, 2000

Summary of Transmitter Locations Used to Develop the HIRF Environments

	Tra	nsmitter Distar	Transmitter Distance from Aircraft	f.
	(feet, s	slant or adjuste	adjusted (adj.) slant	slant range)
Transmitter Source	Rotorcraft Severe	Fixed-wing Severe	Certification (All Aircraft)	Normal (All Aircraft)
Airport ¹ , heliport, and offshore platform ²				
<pre>Fixed: Air route/Airport surveillance radar All others</pre>	300 adj. slant 100 slant	500 adj. slant 250 adj. slant	500 adj. slant 250 adj. slant	500 adj. slant 250 adj. slant
Mobile: Aircraft weather radar All others	150 slant 50 slant	150 slant 50 slant	150 slant 50 slant	250 slant 50 slant
Land-based (other than airport and heliport) ³	Edge of SUA	Edge of SUA	Edge of SUA	Edge of SUA
All others (distance from facility) >0-3 nautical miles (nm) 3-5 nm 5-10 nm 10-25 nm >25 nm	100 slant 100 slant 100 slant 100 slant 100 slant	500 adj. slant 500 adj. slant 500 adj. slant 500 adj. slant 500 adj. slant	500 adj. slant 1000 adj. slant 1000 adj. slant 1000 adj. slant 1000 adj. slant	500 adj. slant 1000 adj. slant 1500 adj. slant 2500 adj. slant 1000 adj. slant

communications, and air traffic control radar beacon system (ATCRBS) interrogator. The mobile transmitters considered included all the ground transmitters not in a fixed location, such as VHF radios on ground support equipment and the following aircraft transmitters: high frequency (HF)/UHF communication, TACAN, Doppler navigation radar, radio altimeter, weather radar, and ATCRBS beacon. transmitters considered included the marker beacon, localizer, very-high-frequency omnirange (VOR) navigation, glide slope, tactical air navigation (TACAN), weather radar, telemetry, ground controlled approach radar, distance measuring equipment, microwave landing system (MLS), airport surveillance radar, air route surveillance radar, ultra high frequency/very high frequency (UHF/VHF) 1 The airport environment consisted of all fixed and mobile transmitters located within a 5-nm boundary around the airport.

The heliport and offshore platform environments consisted of all transmitters, fixed and mobile, located on commercial heliport and offshore platforms. The transmitters considered included satellite, HF, and UHF/VHF communications, VOR navigation, homing beacons, weather radar, surface search radar, and MLS.

³ The land-based environment (other than the airport and heliport environments) consisted of all ground transmitters not located on an airport, heliport, or offshore platform. The transmitters considered included sounders, submarine and UHF/VHF communication, radar astronomy, land mobile equipment, test and training equipment, weather radar, national defense radar, long-range navigation (LORAN),

4 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	Tra (feet, s	insmitter Distar	Transmitter Distance from Aircraft (feet, slant or adjusted (adj.) slant range)	t range)
Geographic Location of Transmitter Source	Rotorcraft Severe	Fixed-wing Severe	Certification (All Aircraft)	Normal (All Aircraft)
Ship-based transmitters4				
All ships	500 slant	500 adj. slant	1000 adj. slant	Not applicable
Air-to-air5				
Interceptor	Not applicable	100 slant	100 slant	Not applicable
All others	Not applicable	500 slant	500 slant	Not applicable

near airports. The transmitters considered included air search radar, fire control radar, satellite, HF, and UHF/VHF communications, TACAN, weather radar, surface search radar, MLS, and ATCRBS interrogator. television broadcast, air route surveillance radar, and satellite uplinks. 4 The ship-based environment consisted of all transmitters located on all commercial and military ships located at sea or in harbors

5 The air-to-air environment consisted only of those transmitters on military aircraft because the transmitters on civilian aircraft were considered in the mobile airport environment. For military aircraft on intercept courses all nonhostile transmitters were assumed to be operational.

HIRF Environments

Table II - HIRF Environments, as developed versus as proposed

HIRF Environment,	HIRF Environment,
as developed	as proposed
Fixed-wing Severe	Not used
Rotorcraft Severe	HIRF Environment III
Certification	HIRF Environment I
Normal	HIRF Environment II

The fixed-wing severe and rotorcraft severe

HIRF environments present worst-case estimates of the

electromagnetic field strength in the airspace in which

fixed-wing aircraft and rotorcraft operations, respectively,

are permitted. The rotorcraft severe HIRF environment, as

shown in table III, is HIRF environment III as proposed;

however, the fixed-wing severe HIRF environment, as shown in

table IV, was used only to develop the certification

HIRF environment.

The certification HIRF environment, as shown in table V (HIRF environment I as proposed) provides test and analysis levels to demonstrate that the aircraft and its systems meet HIRF certification requirements. HIRF environment I is based on likely aircraft separation distances and takes into account high peak power microwave transmitters that typically do not operate continuously at their maximum output levels. Based on statistical analysis of aircraft

operations, the EEHWG determined that the assumptions used for calculating HIRF environment I were more appropriate for aircraft certification than the assumptions of the fixed-wing severe HIRF environment; therefore, the fixed-wing severe HIRF environment is not used in the proposed rules.

The normal HIRF environment, as shown in table VI (HIRF environment II as proposed) also provides test and analysis levels to demonstrate that the aircraft and its systems meet HIRF certification requirements. HIRF environment II is an estimate of the electromagnetic field strength in the airspace above an airport or heliport in which routine departure and arrival operations take place. HIRF environment II also takes into account high peak power microwave transmitters that typically do not operate continuously at their maximum output levels. The EEHWG determined that the assumptions used for HIRF environment II are most appropriate for aircraft in the vicinity of airports.

Table III - Rotorcraft Severe HIRF Environment
(HIRF Environment III)

	FIELD STRENGTH (V/M)		
FREQUENCY	PEAK	AVERAGE	
10 kHz - 100 kHz	150	150	
100 kHz - 500 kHz	200	200	
500 kHz - 2 MHz	200	200	
2 MHz - 30 MHz	200	200	
30 MHz - 70 MHz	200	200	
70 MHz - 100 MHz	200	200	
100 MHz - 200 MHz	200	200	
200 MHz - 400 MHz	200	200	
400 MHz - 700 MHz	730	200	
700 MHz - 1 GHz	1,400	240	
1 GHz - 2 GHz	5,000	250	
2 GHz - 4 GHz	6,000	490	
4 GHz - 6 GHz	7,200	400	
6 GHz - 8 GHz	1,100	170	
8 GHz - 12 GHz	5,000	330	
12 GHz - 18 GHz	2,000	330	
18 GHz - 40 GHz	1,000	420	

Table IV - Fixed-Wing Severe HIRF Environment

					FIELD STRENG	TH (V/M)
	FRE	QU	ENCY		PEAK	AVERAGE
10	kHz	_	100	kHz	50	50
100	kHz	_	500	kHz	60	60
500	kHz	_	2	MHz	70	70
2	MHz	_	30	MHz	200	200
30	MHz	_	70	MHz	30	30
70	MHz	_	100	MHz	30	30
100	MHz	_	200	MHz	90	30
200	MHz		400	MHz	70	70
400	MHz	_	700	MHz	730	80
700	MHz	_	1	GHz	1,400	240
1	GHz	_	2	GHz	3,300	160
2	GHz	_	4	GHz	4,500	490
4	GHz	_	6	GHz	7,200	300
6	GHz	_	8	GHz	1,100	170
8	GHz	_	12	GHz	2,600	330
12	GHz	_	18	GHz	2,000	330
18	GHz	_	40	GHz	1,000	420

Table V - Certification HIRF Environment (HIRF Environment I)

					FIELD STRENG	TH (V/M)
	FRE	QU	ENCY		PEAK	AVERAGE
10	kHz	_	100	kHz	50	50
100	kHz	_	500	kHz	50	50
500	kHz	_	2	MHz	50	50
2	MHz	_	30	MHz	100	100
30	MHz	_	70	MHz	50	50
70	MHz	_	100	MHz	50	50
100	MHz	_	200	MHz	100	100
200	MHz	_	400	MHz	100	100
400	MHz	_	700	MHz	700	50
700	MHz	_	1	GHz	700	100
1	GHz	_	2	GHz	2,000	200
2	GHz	_	4	GHz	3,000	200
4	GHz	_	6	GHz	3,000	200
6	GHz	_	8	GHz	1,000	200
8	GHz	_	12	GHz	3,000	300
12	GHz	_	18	GHz	2,000	200
18	GHz	_	40	GHz	600	200

Table VI - Normal HIRF Environment
(HIRF Environment II)

FREQUENCY					FIELD STRENGTH (V/M)		
	FRE	Qυ	ENCY		PEAK	AVERAGE	
10	kHz	_	100	kHz	20	20	
100	kHz	_	500	kHz	20	20	
500	kHz	_	2	MHz	30	30	
2	MHz	_	30	MHz	100	100	
30	MHz	_	70	MHz	10	10	
70	MHz	_	100	MHz	10	10	
100	MHz	_	200	MHz	30	10	
200	MHz	_	400	MHz	10	10	
400	MHz	_	700	MHz	700	40	
700	MHz	-	1	GHz	700	40	
1	GHz	_	2	GHz	1,300	160	
2	GHz	_	4	GHz	3,000	120	
4	GHz	_	6	GHz	3,000	160	
6	GHz	_	8	GHz	400	170	
8	GHz	_	12	GHz	1,230	230	
12	GHz	_	18	GHz	730	190	
18	GHz	_	40	GHz	600	150	

Equipment Test Levels

The EEHWG developed four equipment HIRF test levels, which have been included in this proposed rule. The four test levels were created using typical aircraft HIRF protection characteristics and data from aircraft service experience to provide the ability to perform testing in a laboratory environment.

Equipment HIRF test levels 1 and 2 are based on the normal HIRF environment reduced by typical aircraft attenuation. The typical aircraft attenuation was determined using the mean attenuation measured on a number of transport airplanes, small airplanes, and rotorcraft. Equipment HIRF test level 3 is based on the normal HIRF environment reduced by the aircraft attenuation for a specific aircraft. Equipment HIRF test level 4 was developed to provide assurance for HIRF protection based on service experience for certain aircraft systems. To develop level 4, the EEHWG reviewed all available reports of HIRF interference. This equipment HIRF test level was selected to minimize the effects of HIRF and is 5 to 10 times higher than the system test levels currently used.

General Discussion of the Proposals

HIRF Certification Requirements

The proposed HIRF certification requirements would apply to applicants seeking issuance of TCs, amended TCs, and STCs to meet the airworthiness requirements in parts 23, 25, 27, and 29 for the initial approval of a new type of aircraft design or a change in type design.

An applicant for a TC, amended TC, or STC first would determine the aircraft's hazards and failures in compliance with §§ 23.1309, 25.1309, 27.1309, and 29.1309. Acceptable

approaches for this hazard assessment are described in AC No. 23.1309-1C and AC No. 25.1309-1A, "Systems Design and Analysis."

The hazard assessment conducted to show compliance with §§ 23.1309, 25.1309, 27.1309, and 29.1309 then could be used to assist in determining the appropriate HIRF certification requirements for the aircraft electrical and electronic systems. HIRF certification requirements in the proposed rule would be established only for aircraft electrical and electronic systems whose failure would (1) prevent the continued safe flight and landing of the aircraft, (2) significantly reduce the capability of the aircraft or the ability of the flightcrew to cope with adverse operating conditions, or (3) reduce the capability of the aircraft or the ability of the flightcrew to cope with adverse operating conditions. This resulting failure classification would determine to which HIRF environment the aircraft and/or electrical and electronic systems would be exposed during certification testing.

Under the proposed rule, electrical and electronic systems that perform a function whose failure would prevent the continued safe flight and landing of the aircraft must be designed and installed so that—

(1) Each function is not affected adversely during and after the aircraft is exposed to HIRF environment I;

- (2) Each electrical and electronic system automatically recovers normal operation, in a timely manner, after the aircraft is exposed to HIRF environment I, unless this conflicts with other operational or functional requirements of that system; and
- (3) Each electrical and electronic system is not adversely affected during and after the aircraft is exposed to HIRF environment II.

In addition, functions required for operations under VFR in rotorcraft would be required to meet additional HIRF certification standards because rotorcraft operating under VFR do not have to comply with the same minimum safe altitude restrictions for airplanes in 14 CFR part 91 and, therefore, may operate closer to transmitters. Accordingly, under the proposed rule, for functions required during operation under VFR whose failure would prevent the continued safe flight and landing of the rotorcraft, the electrical and electronic systems that perform such a function, considered separately and in relation to other systems, must be designed and installed so that, in addition to the first design and installation requirement specified above, each function is not adversely affected during and after the time the rotorcraft is exposed to HIRF environment III. Rotorcraft operating under instrument flight rules (IFR) have to comply with more restrictive

altitude limitations and, therefore, electrical and electronic systems with functions required for IFR operations would be required to meet only HIRF environment I.

The proposed rule would mandate that each electrical and electronic system that performs a function whose failure would reduce significantly the capability of the aircraft or the ability of the flightcrew to cope with adverse operating conditions must be designed and installed so the system is not affected adversely when the equipment providing these functions is exposed to equipment HIRF test level 1, 2, or 3. Any one of these test levels is acceptable. Test levels 1 and 2 have equivalent energy, but provide different modulation applications. This allows the test laboratories to use existing test equipment. Test level 2 allows an applicant to use equipment test levels developed for the specific aircraft being certified. Any one of these test levels may be used to demonstrate HIRF protection.

Lastly, under the proposed rule, each electrical and electronic system that performs a function whose failure would reduce the capability of the aircraft or the ability of the flightcrew to cope with adverse operating conditions must be designed and installed so the system is not affected adversely when the equipment providing these functions is exposed to equipment HIRF test level 4.

HIRF environments I, II, and III, and equipment HIRF test levels 1, 2, 3, and 4 would be found in proposed appendixes to the affected parts.

Compliance With HIRF Certification Requirements

Acceptable operation of a system or equipment installation during exposure to a HIRF environment or equipment HIRF test level could be shown through similarity with existing systems, analyses, testing, or any combination acceptable to the FAA. However, certification by similarity could not be used for a combination of new aircraft design and new equipment design. In addition, service experience alone would not be acceptable because such experience may not include exposure to HIRF environments. Acceptable system performance could be attained by demonstrating that the system under consideration continued to perform its intended function. Deviations from the performance specifications of systems under consideration could be acceptable, but they would need to be assessed independently to ensure the effects of the deviations neither cause nor contribute to conditions that would affect adversely aircraft operational capabilities. When deviations in performance occur as a consequence of the system's or equipment's exposure to the HIRF environment or equipment HIRF test level, an assessment of the

acceptability of the performance should be made. This assessment should be supported by data and analyses.

Because aircraft control system failures and malfunctions could contribute more directly and abruptly to the continued safe flight and landing of an aircraft than display system failures and malfunctions, compliance with the proposed rule for systems performing display functions would not require aircraft level testing. Therefore, systems performing display functions could demonstrate compliance with the appropriate HIRF certification requirements in a laboratory using generic HIRF attenuation curves for that aircraft developed during previous HIRF aircraft level testing. The compliance should address instructions for continued airworthiness of the HIRF protection features.

Paperwork Reduction Act

In accordance with the Paperwork Reduction Act of 1995 (44 U.S.C. 3507(d)), the FAA has determined that there are no requirements for information collection associated with this proposed rule.

International Compatibility

In keeping with U.S. obligations under the Convention on International Civil Aviation, it is FAA policy to comply with International Civil Aviation Organization (ICAO) Standards and Recommended Practices to the maximum extent

timely input by elected officers (or their designees) of State, local, and tribal governments on a proposed "significant intergovernmental mandate." A "significant intergovernmental mandate" under the Act is any provision in a Federal agency regulation that would impose an enforceable duty upon State, local, and tribal governments, in the aggregate, of \$100 million (adjusted annually for inflation) in any one year. Section 203 of the Act, 2 U.S.C. 1533, which supplements section 204(a), provides that before establishing any regulatory requirements that might significantly or uniquely affect small governments, the agency shall have developed a plan that, among other things, provides for notice to potentially affected small governments, if any, and for a meaningful and timely opportunity to provide input in the development of regulatory proposals.

This proposed rule [does/does not] contain a Federal intergovernmental or private sector mandate that exceeds \$100 million in any one year.

Environmental Analysis

FAA Order 1050.1D defines FAA actions that may be categorically excluded from preparation of a National Environmental Policy Act (NEPA) environmental assessment or environmental impact statement. In accordance with FAA Order 1050.1D, appendix 4, paragraph 4(j), this rulemaking action qualifies for a categorical exclusion.

Energy Impact

The energy impact of the notice has been assessed in accordance with the Energy Policy and Conservation Act (EPCA), Public Law 94-163, as amended (43 U.S.C. 6362) and FAA Order 1053.1. It has been determined that the notice is not a major regulatory action under the provisions of the EPCA.

List of Subjects

14 CFR Part 23

Air transportation, Aircraft, Aviation safety, Certification, Safety.

14 CFR Part 25

Air transportation, Aircraft, Aviation safety, Certification, Safety.

14 CFR Part 27

Air transportation, Aircraft, Aviation safety, Certification, Rotorcraft, Safety.

14 CFR Part 29

Air transportation, Aircraft, Aviation safety, Certification, Rotorcraft, Safety.

The Proposed Amendment

In consideration of the foregoing, the Federal Aviation Administration proposes to amend parts 23, 25, 27, and 29 of Title 14, Code of Federal Regulations (14 CFR) as follows:

PART 23 - AIRWORTHINESS STANDARDS: NORMAL, UTILITY, ACROBATIC, AND COMMUTER CATEGORY AIRPLANES

1. The authority citation for part 23 continues to read as follows:

Authority: 49 U.S.C. §§ 106(g), 40113, 44701, 44702, 44704.

- Add § 23.1308 to subpart F to read as follows:
 § 23.1308 High Intensity Radiated Fields (HIRF) Protection.
- (a) Each electrical and electronic system that performs a function whose failure would prevent the continued safe flight and landing of the airplane must be designed and installed so that—
- (1) Each function is not adversely affected during and after the time the airplane is exposed to HIRF environment I, as described in appendix J to this part;
- (2) Each electrical and electronic system automatically recovers normal operation, in a timely manner, after the airplane is exposed to HIRF environment I, as described in appendix J to this part, unless the system's recovery conflicts with other operational or functional requirements of the system; and
- (3) Each electrical and electronic system is not adversely affected during and after the time the airplane is exposed to HIRF environment II, as described in appendix J to this part.

- (b) Each electrical and electronic system that performs a function whose failure would significantly reduce the capability of the airplane or the ability of the flightcrew to cope with adverse operating conditions must be designed and installed so the system is not adversely affected when the equipment providing these functions is exposed to equipment HIRF test level 1, 2, or 3, as described in appendix J to this part.
- (c) Each electrical and electronic system that performs a function whose failure would reduce the capability of the airplane or the ability of the flightcrew to cope with adverse operating conditions must be designed and installed so the system is not adversely affected when the equipment providing these functions is exposed to equipment HIRF test level 4, as described in appendix J to this part.
 - 3. Add appendix J to part 23 to read as follows:

Appendix J to Part 23- HIRF Environments and Equipment HIRF Test Levels

This appendix specifies the HIRF environments and equipment HIRF test levels for electrical and electronic systems under § 23.1308. The field strength values for the HIRF environments and equipment HIRF test levels are expressed in root-mean-square units measured during the peak of the modulation cycle.

(a) HIRF environment I is specified as follows:

Table I - HIRF Environment I

EDEOM		FIELD STRENGTH (V/M)		
FREQUI	ENCY	PEAK	AVERAGE	
10 kHz -	100 kHz	50	50	
100 kHz -	500 kHz	50	50	
500 kHz -	2 MHz	50	50	
2 MHz -	30 MHz	100	100	
30 MHz -	70 MHz	50	50	
70 MHz -	100 MHz	50	50	
100 MHz -	200 MHz	100	100	
200 MHz -	400 MHz	100	100	
400 MHz -	700 MHz	700	50	
700 MHz -	1 GHz	700	100	
1 GHz -	2 GHz	2,000	200	
2 GHz -	4 GHz	3,000	200	
4 GHz -	6 GHz	3,000	200	
6 GHz -	8 GHz	1,000	200	
8 GHz -	12 GHz	3,000	300	
12 GHz -	18 GHz	2,000	200	
18 GHz -	40 GHz	600	200	

[editing will combine the frequencies with identical field strengths for all the charts and add the language & up to and including]

(b) HIRF environment II is specified as follows:

Table II - HIRF Environment II

					FIELD STRENGTH (V/M)		
	FRE	QU	ENCY	•	PEAK	AVERAGE	
10	kHz	_	100	kHz	20	20	
100	kHz	-	500	kHz	20	20	
500	kHz	-	2	MHz	30	30	
2	MHz	-	30	MHz	100	100	
30	MHz	-	70	MHz	10	10	
70	MHz	-	100	MHz	10	10	
100	MHz	-	200	MHz	30	10	
200	MHz	-	400	MHz	10	10	
400	MHz	-	700	MHz	700	40	
700	MHz	-	1	GHz	700	40	
1	GHz	-	2	GHz	1,300	160	
2	GHz	-	4	GHz	3,000	120	
4	GHz	-	6	GHz	3,000	160	
6	GHz	-	8	GHz	400	170	
8	GHz	-	12	GHz	1,230	230	
12	GHz	-	18	GHz	730	190	
18	GHz	_	40	GHz	600	150	

⁽c) Equipment HIRF Test Level 1.

⁽¹⁾ From 10 kilohertz (kHz) to 400 megahertz (MHz), use conducted susceptibility tests with continuous wave (CW) and 1 kHz square wave modulation with 90 percent depth

or greater. The conducted susceptibility current must start at a minimum of 0.6 milliamperes (mA) at 10 kHz, increasing 20 decibels (dB) per frequency decade to a minimum of 30 mA at 500 kHz.

- (2) From 500 kHz to 400 MHz, the conducted susceptibility current must be at least 30 mA.
- (3) From 100 MHz to 400 MHz, use radiated susceptibility tests at a minimum of 20 volts per meter (V/m) peak, with CW and 1 kHz square wave modulation with 90 percent depth or greater.
- (4) From 400 MHz to 8 gigahertz (GHz), use radiated susceptibility tests at a minimum of 150 V/m peak with pulse modulation of 0.1 percent duty cycle with 1 kHz pulse repetition frequency. This signal must be switched on and off at a rate of 1 Hz with a duty cycle of 50 percent.
- (5) From 400 MHz to 8 GHz, use radiated susceptibility tests at a minimum of 28 V/m peak with 1 kHz square wave modulation with 90 percent depth or greater. This signal must be switched on and off at a rate of 1 Hz with a duty cycle of 50 percent.
 - (d) Equipment HIRF Test Level 2.
- (1) From 10 kHz to 400 MHz, use conducted susceptibility tests with CW and 1 kHz square wave modulation with 90 percent depth or greater. The conducted susceptibility current must start at a minimum of 0.6 mA at

- 10 kHz, increasing 20 dB per frequency decade to a minimum of 30 mA at 500 kHz.
- (2) From 500 kHz to 400 MHz, the conducted susceptibility current must be at least 30 mA.
- (3) From 100 MHz to 400 MHz, use radiated susceptibility tests at a minimum of 20 V/m peak with CW and 1 kHz square wave modulation with 90 percent depth or greater.
- (4) From 400 MHz to 8 GHz, use radiated susceptibility tests at a minimum of 150 V/m peak with pulse modulation of 4 percent duty cycle with a 1 kHz pulse repetition frequency. This signal must be switched on and off at a rate of 1 Hz with a duty cycle of 50 percent.
- (e) Equipment HIRF Test Level 3. Test level 3 is

 HIRF environment II in table II of this appendix reduced by

 acceptable aircraft transfer function and attenuation

 curves. Testing must cover the frequency band of 10 kHz to

 8 GHz.
 - (f) Equipment HIRF Test Level 4.
- (1) From 10 kHz to 400 MHz, use conducted susceptibility tests, starting at a minimum of 0.15 mA at 10 kHz, increasing 20 dB per frequency decade to a minimum of 7.5 mA at 500 kHz.
- (2) From 500 kHz to 400 MHz, use conducted susceptibility tests at a minimum of 7.5 mA.

(3) From 100 MHz to 8 GHz, use radiated susceptibility tests at a minimum of 5 V/m.

PART 25 - AIRWORTHINESS STANDARDS: TRANSPORT CATEGORY AIRPLANES

4. The authority citation for part 25 continues to read as follows:

Authority: 49 U.S.C. §§ 106(g), 40113, 44701, 44702, 44704.

- 5. Add § 25.1317 to subpart F to read as follows: § 25.1317 High Intensity Radiated Fields (HIRF) Protection.
- (a) Each electrical and electronic system that performs a function whose failure would prevent the continued safe flight and landing of the airplane must be designed and installed so that—
- (1) Each function is not adversely affected during and after the time the airplane is exposed to HIRF environment I, as described in appendix K to this part;
- (2) Each electrical and electronic system automatically recovers normal operation, in a timely manner, after the airplane is exposed to HIRF environment I, as described in appendix K to this part, unless the system's recovery conflicts with other operational or functional requirements of the system; and
- (3) Each electrical and electronic system is not adversely affected during and after the time the airplane is

exposed to HIRF environment II, as described in appendix K to this part.

- (b) Each electrical and electronic system that performs a function whose failure would significantly reduce the capability of the airplane or the ability of the flightcrew to cope with adverse operating conditions must be designed and installed so the system is not adversely affected when the equipment providing these functions is exposed to equipment HIRF test level 1, 2, or 3, as described in appendix K to this part.
- (c) Each electrical and electronic system that performs a function whose failure would reduce the capability of the airplane or the ability of the flightcrew to cope with adverse operating conditions must be designed and installed so the system is not adversely affected when the equipment providing these functions is exposed to equipment HIRF test level 4, as described in appendix K to this part.
 - 6. Add appendix K to part 25 to read as follows:

Appendix K to Part 25- HIRF Environments and Equipment HIRF Test Levels

This appendix specifies the HIRF environments and equipment HIRF test levels for electrical and electronic systems under § 25.1317. The field strength values for the HIRF environments and equipment HIRF test levels are

expressed in root-mean-square units measured during the peak of the modulation cycle.

(a) HIRF environment I is specified as follows :

Table I - HIRF Environment I

					FIELD STRENGTH (V/M)		
	FRE	QU	ENCY		PEAK	AVERAGE	
10	kHz	_	100	kHz	50	50	
100	kHz	_	500	kHz	50	50	
500	kHz	-	2	MHz	50	50	
2	MHz	-	30	MHz	100	100	
30	MHz	_	70	MHz	50	50	
70	MHz		100	MHz	50	50	
100	MHz	_	200	MHz	100	100	
200	MHz	-	400	MHz	100	100	
400	MHz	-	700	MHz	700	50	
700	MHz	-	1	GHz	700	100	
1	GHz	-	2	GHz	2,000	200	
2	GHz	-	4	GHz	3,000	200	
4	GHz	-	6	GHz .	3,000	200	
6	GHz	_	8	GHz	1,000	200	
8	GHz	-	12	GHz	3,000	300	
12	GHz	_	18	GHz	2,000	200	
18	GHz	_	40	GHz	600	200	

(b) HIRF environment II is specified as follows:

Table II - HIRF Environment II

EDEOLIENGY	FIELD STREN	FIELD STRENGTH (V/M)		
FREQUENCY	PEAK	AVERAGE		
10 kHz - 100 kH:	20	20		
100 kHz - 500 kHz	20	20		
500 kHz - 2 MHz	30	30		
2 MHz - 30 MHz	100	100		
30 MHz - 70 MHz	10	10		
70 MHz - 100 MHz	10	10		
100 MHz - 200 MHz	30	10		
200 MHz - 400 MHz	10	10		
400 MHz - 700 MHz	700	40		
700 MHz - 1 GHz	700	40		
1 GHz - 2 GHz	1,300	160		
2 GHz - 4 GHz	3,000	120		
4 GHz - 6 GHz	3,000	160		
6 GHz - 8 GHz	400	170		
8 GHz - 12 GHz	1,230	230		
12 GHz - 18 GHz	730	190		
18 GHz - 40 GHz	600	150		

⁽c) Equipment HIRF Test Level 1.

(1) From 10 kilohertz (kHz) to 400 megahertz (MHz), use conducted susceptibility tests with continuous wave (CW) and 1 kHz square wave modulation with 90 percent depth

or greater. The conducted susceptibility current must start at a minimum of 0.6 milliamperes (mA) at 10 kHz, increasing 20 decibels (dB) per frequency decade to a minimum of 30 mA at 500 kHz.

- (2) From 500 kHz to 400 MHz, the conducted susceptibility current must be at least 30 mA.
- (3) From 100 MHz to 400 MHz, use radiated susceptibility tests at a minimum of 20 volts per meter (V/m) peak with CW and 1 kHz square wave modulation with 90 percent depth or greater.
- (4) From 400 MHz to 8 gigahertz (GHz), use radiated susceptibility tests at a minimum of 150 V/m peak with pulse modulation of 0.1 percent duty cycle with 1 kHz pulse repetition frequency. This signal must be switched on and off at a rate of 1 Hz with a duty cycle of 50 percent.
- (5) From 400 MHz to 8 GHz, use radiated susceptibility tests at a minimum of 28 V/m peak with 1 kHz square wave modulation with 90 percent depth or greater. This signal must be switched on and off at a rate of 1 Hz with a duty cycle of 50 percent.
 - (d) Equipment HIRF Test Level 2.
- (1) From 10 kHz to 400 MHz, use conducted susceptibility tests with CW and 1 kHz square wave modulation with 90 percent depth or greater. The conducted susceptibility current must start at a minimum of 0.6 mA at

10 kHz, increasing 20 dB per frequency decade to a minimum of 30 mA at 500 kHz.

- (2) From 500 kHz to 400 MHz, the conducted susceptibility current must be at least 30 mA.
- (3) From 100 MHz to 400 MHz, use radiated susceptibility tests at a minimum of 20 V/m peak with CW and 1 kHz square wave modulation with 90 percent depth or greater.
- (4) From 400 MHz to 8 GHz, use radiated susceptibility tests at a minimum of 150 V/m peak with pulse modulation of 4 percent duty cycle with a 1 kHz pulse repetition frequency. This signal must be switched on and off at a rate of 1 Hz with a duty cycle of 50 percent.
- (e) Equipment HIRF Test Level 3. Test level 3 is

 HIRF environment II in table II of this appendix reduced by

 acceptable aircraft transfer function and attenuation

 curves. Testing must cover the frequency band of 10 kHz to

 8 GHz.
 - (f) Equipment HIRF Test Level 4.
- (1) From 10 kHz to 400 MHz, use conducted susceptibility tests, starting at a minimum of 0.15 mA at 10 kHz, increasing 20 dB per frequency decade to a minimum of 7.5 mA at 500 kHz.
- (2) From 500 kHz to 400 MHz, use conducted susceptibility tests at a minimum of 7.5 mA.

(3) From 100 MHz to 8 GHz, use radiated susceptibility tests at a minimum of 5 V/m.

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PART 27 - AIRWORTHINESS STANDARDS: NORMAL CATEGORY ROTORCRAFT

7. The authority citation for part 27 continues to read as follows:

Authority: 49 U.S.C. §§ 106(g), 40113, 44701, 44702, 44704.

- 8. Add § 27.1317 to subpart F to read as follows: § 27.1317 High Intensity Radiated Fields (HIRF) Protection.
- (a) Each electrical and electronic system that performs a function whose failure would prevent the continued safe flight and landing of the rotorcraft must be designed and installed so that—
- (1) Each function is not adversely affected during and after the time the rotorcraft is exposed to HIRF environment I, as described in appendix D to this part;
- automatically recovers normal operation, in a timely manner, after the rotorcraft is exposed to HIRF environment I, as described in appendix D to this part, unless this conflicts with other operational or functional requirements of that system;

- (3) Each electrical and electronic system is not adversely affected during and after the time the rotorcraft is exposed to HIRF environment II, as described in appendix D to this part; and
- (4) Each function required during operation under visual flight rules is not adversely affected during and after the time the rotorcraft is exposed to HIRF environment III, as described in appendix D to this part.
- (b) Each electrical and electronic system that performs a function whose failure would significantly reduce the capability of the rotorcraft or the ability of the flightcrew to cope with adverse operating conditions must be designed and installed so the system is not adversely affected when the equipment providing these functions is exposed to equipment HIRF test level 1, 2, or 3, as described in appendix D to this part.
- (c) Each electrical and electronic system that performs a function whose failure would reduce the capability of the rotorcraft or the ability of the flightcrew to cope with adverse operating conditions, must be designed and installed so the system is not adversely affected when the equipment providing these functions is exposed to equipment HIRF test level 4, as described in appendix D to this part.
 - 9. Add appendix D to part 27 to read as follows:

Appendix D to Part 27— HIRF Environments and Equipment HIRF Test Levels

This appendix specifies the HIRF environments and equipment HIRF test levels for electrical and electronic systems under § 27.1317. The field strength values for the HIRF environments and laboratory equipment HIRF test levels are expressed in root-mean-square units measured during the peak of the modulation cycle.

(a) HIRF environment I is specified as follows ow:

Table I - HIRF Environment I

FREQUENCY	FIELD STRENGTH (V/M)		
PREQUENCI	PEAK	AVERAGE	
10 kHz - 100 kHz	50	50	
100 kHz - 500 kHz	50	50	
500 kHz - 2 MHz	50	50	
2 MHz - 30 MHz	100	100	
30 MHz - 70 MHz	50	50	
70 MHz - 100 MHz	50	50	
100 MHz - 200 MHz	100	100	
200 MHz - 400 MHz	100	100	
400 MHz - 700 MHz	700	50	
700 MHz - 1 GHz	700	100	
1 GHz - 2 GHz	2,000	200	
2 GHz - 4 GHz	3,000	200	
4 GHz - 6 GHz	3,000	200	
6 GHz - 8 GHz	1,000	200	
8 GHz - 12 GHz	3,000	300	
12 GHz - 18 GHz	2,000	200	
18 GHz - 40 GHz	600	200	

(b) HIRF environment II is specified as follows:

Table II - HIRF Environment II

					FIELD STRENGTH (V/M)		
FREQUENCY					PEAK	AVERAGE	
10	kHz	_	100	kHz	20	20	
100	kHz	_	500	kHz	20	20	
500	kHz	_	2	MHz	30	30	
2	MHz	_	30	MHz	100	100	
30	MHz	_	70	MHz	10	10	
70	MHz	_	100	MHz	10	10	
100	MHz	_	200	MHz	30	10	
200	MHz	_	400	MHz	10	10	
400	MHz	_	700	MHz	700	40	
700	MHz	-	1	GHz	700	40	
1	GHz	_	2	GHz	1,300	160	
2	GHz	_	4	GHz	3,000	120	
4	GHz	-	6	GĤz	3,000	160	
6	GHz	_	8	GHz	400	170	
8	GHz	-	12	GHz	1,230	230	
12	GHz	-	18	GHz	730	190	
18	GHz	_	40	GHz	600	150	

(c) HIRF environment III is specified as follows:

Table III - HIRF Environment III

					FIELD STREM	FIELD STRENGTH (V/M)		
FREQUENCY					PEAK	AVERAGE		
10	kHz	*	100	kHz	150	150		
100	kHz	*	500	kHz	200	200		
500	kHz	_	2	MHz	200	200		
2	MHz	_	30	MHz	200	200		
30	MHz	-	70	MHz	200	200		
70	MHz	*	100	MHz	200	200		
100	MHz	*	200	MHz	200	200		
200	MHz	*	400	MHz	200	200		
400	MHz	*	700	MHz	730	200		
700	MHz	_	1	GHz	1,400	240		
1	GHz	-	2	GHz	5,000	250		
2	GHz	_	4	GHz	6,000	490		
4	GHz	_	6	GHz	7,200	400		
6	GHz	-	8	GHz	1,100	170		
8	GHz	_	12	GHz	5,000	330		
12	GHz	-	18	GHz	2,000	330		
18	GHz	-	40	GHz	1,000	420		

(d) Equipment HIRF Test Level 1.

(1) From 10 kilohertz (kHz) to 400 megahertz (MHz), use conducted susceptibility tests with continuous wave (CW) and 1 kHz square wave modulation with 90 percent depth or greater. The conducted susceptibility current must start

at a minimum of 0.6 milliamperes (mA) at 10 kHz, increasing 20 decibels (dB) per frequency decade to a minimum of 30 mA at 500 kHz.

- (2) From 500 kHz to 400 MHz, the conducted susceptibility current must be at least 30 mA.
- (3) From 100 MHz to 400 MHz, use radiated susceptibility tests at a minimum of 20 volts per meter (V/m) peak with CW and 1 kHz square wave modulation with 90 percent depth or greater.
- (4) From 400 MHz to 8 gigahertz (GHz), use radiated susceptibility tests at a minimum of 150 V/m peak with pulse modulation of 0.1 percent duty cycle with 1 kHz pulse repetition frequency. This signal must be switched on and off at a rate of 1 Hz with a duty cycle of 50 percent.
- (5) From 400 MHz to 8 GHz, use radiated susceptibility tests at a minimum of 28 V/m peak with 1 kHz square wave modulation with 90 percent depth or greater. This signal must be switched on and off at a rate of 1 Hz with a duty cycle of 50 percent.
 - (e) Equipment HIRF Test Level 2.
- (1) From 10 kHz to 400 MHz, use conducted susceptibility tests with CW and 1 kHz square wave modulation with 90 percent depth or greater. The conducted susceptibility current must start at a minimum of 0.6 mA at 10 kHz, increasing 20 dB per frequency decade to a minimum of 30 mA at 500 kHz.

- (2) From 500 kHz to 400 MHz, the conducted susceptibility current must be at least 30 mA.
- (3) From 100 MHz to 400 MHz, use radiated susceptibility tests at a minimum of 20 V/m peak with CW and 1 kHz square wave modulation with 90 percent depth or greater.
- (4) From 400 MHz to 8 GHz, use radiated susceptibility tests at a minimum of 150 V/m peak with pulse modulation of 4 percent duty cycle with a 1 kHz pulse repetition frequency. This signal must be switched on and off at a rate of 1 Hz with a duty cycle of 50 percent.
- (f) Equipment HIRF Test Level 3. Test level 3 is

 HIRF environment II in table II of this appendix reduced by

 acceptable aircraft transfer function and attenuation

 curves. Testing must cover the frequency band of 10 kHz to

 8 GHz.
 - (g) <u>Equipment HIRF Test Level 4</u>.
- (1) From 10 kHz to 400 MHz, use conducted susceptibility tests, starting at a minimum of 0.15 mA at 10 kHz, increasing 20 dB per frequency decade to a minimum of 7.5 mA at 500 kHz.
- (2) From 500 kHz to 400 MHz, use conducted susceptibility tests at a minimum of 7.5 mA.
- (3) From 100 MHz to 8 GHz, use radiated susceptibility tests at a minimum of 5 $\ensuremath{\text{V/m}}$.

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PART 29 - AIRWORTHINESS STANDARDS: TRANSPORT CATEGORY ROTORCRAFT

10. The authority citation for part 29 continues to read as follows:

Authority: 49 U.S.C. §§ 106(g), 40113, 44701, 44702, 44704.

- 11. Add § 29.1317 to subpart F to read as follows: § 29.1317 High Intensity Radiated Fields (HIRF) Protection.
- (a) Each electrical and electronic system that performs a function whose failure would prevent the continued safe flight and landing of the rotorcraft must be designed and installed so that—
- (1) Each function is not adversely affected during and after the time the rotorcraft is exposed to HIRF environment I, as described in appendix E to this part;
- (2) Each electrical and electronic system automatically recovers normal operation, in a timely manner, after the rotorcraft is exposed to HIRF environment I, as described in appendix E to this part, unless this conflicts with other operational or functional requirements of that system;
- (3) Each electrical and electronic system is not adversely affected during and after the time the rotorcraft is exposed to HIRF environment II, as described in appendix E to this part; and

- (4) Each function required during operation under visual flight rules is not adversely affected during and after the time the rotorcraft is exposed to HIRF environment III, as described in appendix E to this part.
- (b) Each electrical and electronic system that performs a function whose failure would significantly reduce the capability of the rotorcraft or the ability of the flightcrew to cope with adverse operating conditions must be designed and installed so the system is not adversely affected when the equipment providing these functions is exposed to equipment HIRF test level 1, 2, or 3, as described in appendix E to this part.
- (c) Each electrical and electronic system that performs such a function whose failure would reduce the capability of the rotorcraft or the ability of the flightcrew to cope with adverse operating conditions must be designed and installed so the system is not adversely affected when the equipment providing these functions is exposed to equipment HIRF test level 4, as described in appendix E to this part.
 - 12. Add appendix E to part 29 to read as follows:

 Appendix E to Part 29— HIRF Environments

and Equipment HIRF Test Levels

This appendix specifies the HIRF environments and equipment HIRF test levels for electrical and electronic systems under § 29.1317. The field strength values for the HIRF environments and laboratory equipment HIRF test levels are expressed in root-mean-square units measured during the peak of the modulation cycle.

(a) HIRF environment I is specified as follows:

Table I - HIRF Environment I

					FIELD STRENGTH (V/M)		
	FRE	QU:	ENCY		PEAK	AVERAGE	
10	kHz	_	100	kHz	50	50	
100	kHz	_	500	kHz	50	50	
500	kHz	-	2	MHz	50	50	
2	MHz	_	30	MHz	100	100	
30	MHz	_	70	MHz	50	50	
70	MHz	_	100	MHz	50	50	
100	MHz	-	200	MHz	100	100	
200	MHz	_	400	MHz	100	100	
400	MHz	_	700	MHz	700	50	
700	MHz	_	1	GHz	700	100	
1	GHz	_	2	GHz	2,000	200	
2	GHz	_	4	GHz	3,000	200	
4	GHz	_	6	GHz	3,000	200	
6	GHz	_	8	GHz	1,000	200	
8	GHz	_	12	GHz	3,000	300	
12	GHz	_	18	GHz	2,000	200	
18	GHz	_	40	GHz	600	200	

(b) HIRF environment II is specified as follows:

Table II - HIRF Environment II

					FIELD STRENGTH (V/M)		
	FRE	QU.	ENCY		PEAK	AVERAGE	
10	kHz	_	100	kHz	20	20	
100	kHz	_	500	kHz	20	20	
500	kHz	_	2	MHz	30	30	
2	MHz	_	30	MHz	100	100	
30	MHz	_	70	MHz	10	10	
70	MHz	_	100	MHz	10	10	
100	MHz	_	200	MHz	30	10	
200	MHz	-	400	MHz	10	10	
400	MHz	_	700	MHz	700	40	
700	MHz	_	1	GHz	700	40	
1	GHz	_	2	GHz	1,300	160	
2	GHz	-	4	GHz	3,000	120	
4	GHz	_	6	GHz	3,000	160	
6	GHz	_	8	GHz	400	170	
8	GHz	_	12	GHz	1,230	230	
12	GHz	_	18	GHz	730	190	
18	GHz	_	40	GHz	600	150	

(c) HIRF environment III is specified as follows:

Table III - HIRF Environment III

					FIELD STRENGTH (V/M)		
FREQUENCY					PEAK	AVERAGE	
10	kHz	*	100	kHz	150	150	
100	kHz	*	500	kHz	200	200	
500	kHz	_	2	MHz	200	200	
2	MHz	_	30	MHz	200	200	
30	MHz	_	70	MHz	200	200	
70	MHz	*	100	MHz	200	200	
100	MHz	*	200	MHz	200	200	
200	MHz	*	400	MHz	200	200	
400	MHz	*	700	MHz	730	200	
700	MHz	_	1	GHz	1,400	240	
1	GHz	_	2	GHz	5,000	250	
2	GHz	_	4	GHz	6,000	490	
4	GHz	_	6	GHz	7,200	400	
6	GHz	_	8	GHz	1,100	170	
8	GHz	-	12	GHz	5,000	330	
12	GHz	_	18	GHz	2,000	330	
18	GHz	_	40	GHz	1,000	420	

(d) Equipment HIRF Test Level 1.

(1) From 10 kilohertz (kHz) to 400 megahertz (MHz), use conducted susceptibility tests with continuous wave (CW) and 1 kHz square wave modulation with 90 percent depth or greater. The conducted susceptibility current must start

at a minimum of 0.6 milliamperes (mA) at 10 kHz, increasing 20 decibels (dB) per frequency decade to a minimum of 30 mA at 500 kHz.

- (2) From 500 kHz to 400 MHz, the conducted susceptibility current must be at least 30 mA.
- (3) From 100 MHz to 400 MHz, use radiated susceptibility tests at a minimum of 20 volts per meter (V/m) peak, with CW and 1 kHz square wave modulation with 90 percent depth or greater.
- (4) From 400 MHz to 8 gigahertz (GHz), use radiated susceptibility tests at a minimum of 150 V/m peak with pulse modulation of 0.1 percent duty cycle with 1 kHz pulse repetition frequency. This signal must be switched on and off at a rate of 1 Hz with a duty cycle of 50 percent.
- (5) From 400 MHz to 8 GHz, use radiated susceptibility tests at a minimum of 28 V/m peak with 1 kHz square wave modulation with 90 percent depth or greater. This signal must be switched on and off at a rate of 1 Hz with a duty cycle of 50 percent.
 - (e) Equipment HIRF Test Level 2.
- (1) From 10 kHz to 400 MHz, use conducted susceptibility tests with CW and 1 kHz square wave modulation with 90 percent depth or greater. The conducted susceptibility current must start at a minimum of 0.6 mA at 10 kHz, increasing 20 dB per frequency decade to a minimum of 30 mA at 500 kHz.

- (2) From 500 kHz to 400 MHz, the conducted susceptibility current must be at least 30 mA.
- (3) From 100 MHz to 400 MHz, use radiated susceptibility tests at a minimum of 20 V/m peak with CW and 1 kHz square wave modulation with 90 percent depth or greater.
- (4) From 400 MHz to 8 GHz, use radiated susceptibility tests at a minimum of 150 V/m peak with pulse modulation of 4 percent duty cycle with a 1 kHz pulse repetition frequency. This signal must be switched on and off at a rate of 1 Hz with a duty cycle of 50 percent.
- (f) Equipment HIRF Test Level 3. Test level 3 is
 HIRF environment II in table II of this appendix reduced by
 acceptable aircraft transfer function and attenuation
 curves. Testing must cover the frequency band of 10 kHz to
 8 GHz.
 - (g) Equipment HIRF Test Level 4.
- (1) From 10 kHz to 400 MHz, use conducted susceptibility tests, starting at a minimum of 0.15 mA at 10 kHz, increasing 20 dB per frequency decade to a minimum of 7.5 mA at 500 kHz.
- (2) From 500 kHz to 400 MHz, use conducted susceptibility tests at a minimum of 7.5 mA.
- (3) From 100 MHz to 8 GHz, use radiated susceptibility tests at a minimum of 5 V/m.

Issued in Washington, DC, on

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August 24, 2000



Advisory Circular

Subject: The Cert

The Certification of Aircraft Electrical and Electronic Systems for Operation in the High Intensity Radiated Fields (HIRF) Environment

Federal Aviation Administration (FAA).

Date: XX/XX/00

Initiated by: XXX-XXX

AC No: 20-xxx

Change:

1. PURPOSE. This advisory circular (AC) provides information and guidance concerning an acceptable means, but not the only means, of showing compliance with Title 14, Code of Federal Regulations (14 CFR) §§ 23.1308, 25.1317, 27.1317, and 29.1317, regarding the operation of electrical and electronic systems on an aircraft when the aircraft is exposed to an external high intensity radiated fields (HIRF) environment. This AC is not mandatory nor does it constitute a regulation; an applicant may elect an alternative means of compliance acceptable to the

2. <u>FOCUS</u>. This AC applies to all applicants seeking issuance of a type certificate (TC), an amended type certificate (amended TC), or a supplemental type certificate (STC) under Parts 23, 25, 27, and 29 for the initial approval of a new type of aircraft, a change in an aircraft type design, or the use of an existing piece of equipment or system on an aircraft that previously has not used that equipment or system.

3. RELATED MATERIAL.

a. Sections 23.901, 25.901, 27.901, and 29.901, Installation; §§ 23.903, 25.903, 27.903, and 29.903, Engines; §§ 23.1301, 25.1301, 27.1301, and 29.1301, Function and Installation; §§ 23.1309, 25.1309, 27.1309, and 29.1309, Equipment, Systems, and Installations; §§ 23.1308, 25.1317, 27.1317, and 29.1317, High Intensity Radiated Fields (HIRF) Protection; §§ 23.1329, 25.1329, 27.1329, and 29.1329, Automatic Pilot System; §§ 23.1431, 25.1431, and 29.1431, Electronic Equipment; and §§ 23.1529, 25.1529, 27.1529, and 29.1529, Instructions for Continued Airworthiness.

- b. Joint Aviation Requirements (JAR) 23, 25, 27, and 29. Copies of these documents may be purchased from Global Engineering Documents, 15 Inverness Way East, Englewood, Colorado 80152-5776; domestic calls: 1-800-854-7179; international calls: 1-303-397-7956; facsimile: 1-303-397-2740; electronic mail: global@ihs.com.; and web site: http://www.global.ihs.com.
- c. AC 23.1309-1C, Equipment, Systems, and Installations in Part 23 Airplanes; and AC 25.1309-1A, System Design and Analysis. Copies of these documents may be purchased from the Superintendent of Documents, P.O. Box 371954, Pittsburgh, Pennsylvania 15250-7954; telephone: 1-202-512-1800; facsimile: 1-202-512-2250; web site: http://bookstore.gpo.gov.
- d. RTCA/DO-160, Environmental Conditions and Test Procedures for Airborne Equipment, Revision D or later. Copies of this document may be purchased from RTCA, Inc., 1140 Connecticut Avenue SW., Suite 1020, Washington, DC 20036. This document is technically equivalent to EUROCAE ED-14. Anywhere there is a reference to RTCA/DO-160, EUROCAE ED-14 may be used.
- e. European Organization for Civil Aviation Equipment (EUROCAE) Copies of these documents may be purchased from EUROCAE, 17 Rue Hamelin, F-75783, Paris, Cedex 16, France.
- (1) EUROCAE ED-XX, "Guide to Certification of Aircraft in a High Intensity Radiated Field (HIRF) Environment." ED-XX and SAE ARP 5583, referenced below, are technically equivalent and either document may serve as the "Users Guide" referred to in this AC.
- (2) EUROCAE ED-14 revision D or later. This document is technically equivalent to RTCA/DO-160. Anywhere there is a reference to RTCA/DO-160, EUROCAE ED-14 may be used.
- f. Society of Automotive Engineers (SAE). Copies of the following documents may be obtained from SAE, 400 Commonwealth Drive, Warrendale, Pennsylvania 15096-0001; web site: http://www.sae.org.
- (1) SAE ARP 5583 "Guide to Certification of Aircraft in a High Intensity Radiated Field (HIRF) Environment," Draft.
- (2) SAE ARP 4754, "Certification Considerations for Highly-Integrated or Complex Aircraft Systems," November 1996.

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(3) SAE ARP 4761, "Guidelines and Methods for Conducting the Safety Assessment Process on Civil Airborne Systems and Equipment," December 1996.

4. BACKGROUND.

- a. <u>Aircraft Protection</u>. Concern for the protection of aircraft electrical and electronic systems has increased substantially in recent years because of—
- (1) Greater dependence on electrical and electronic systems performing functions required for continued safe flight and landing of an aircraft;
- (2) Reduced electromagnetic shielding afforded by some composite materials used in aircraft designs;
- (3) Increased susceptibility of electrical and electronic systems to HIRF because of increased data bus and processor operating speeds, higher density integrated circuits and cards, and greater sensitivities of electronic equipment;
- (4) Expanded frequency usage, especially above 1 gigahertz (GHz);
- (5) Increased severity of the HIRF environment because of an increase in the number and power of radio frequency (RF) transmitters; and
- (6) Adverse effects experienced by some aircraft when exposed to HIRF.
- b. <u>HIRF Environment</u>. The electromagnetic HIRF environment exists because of the transmission of electromagnetic radio frequency (RF) energy from radar, radio, television, and other ground-based, shipborne, or airborne RF transmitters. The User's Guide provides a detailed description of the derivation of these HIRF environments.
- 5. <u>DEFINITIONS</u>. For the purposes of this AC, the following definitions apply:
- a. Attenuation. A term used to denote a decrease in electromagnetic field strength in transmission from one point to another. Attenuation may be expressed as a scalar ratio of the input magnitude to the output magnitude or in decibels (dB).

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- b. <u>Bulk Current Injection (BCI)</u>. A method of electromagnetic interference (EMI) testing that involves injecting current into wire bundles through a current injection probe.
- c. Continued Safe Flight and Landing. The capability for continued controlled flight and landing at a suitable location, possibly using emergency procedures, but without requiring exceptional pilot skill or strength. Some aircraft damage may be associated with a failure condition during flight or upon landing.
- d. <u>Continuous Wave (CW)</u>. An RF signal consisting of only the fundamental frequency with no modulation in amplitude, frequency, or phase.
- e. <u>Coupling</u>. A process whereby electromagnetic energy is induced in a system by radiation produced by an RF source.
- f. <u>Current Injection Probe</u>. An inductive device designed to inject RF signals directly into wire bundles when clamped around them.
- g. <u>Direct Drive Test</u>. An EMI test that involves electrically connecting a signal source directly to the unit being tested.
- h. <u>Equipment</u>. A component of an electrical or electronic system with interconnecting electrical conductors.
- i. Equipment Electrical Interface. The location on a piece of equipment where an electrical connection is made to the other equipment in a system of which it is a part. The electrical interface may consist of individual wires or wire bundles that connect the equipment.
- j. External High Intensity Radiated Fields Environment. The electromagnetic RF fields at the exterior of an aircraft.
- k. Field Strength. The magnitude of the electromagnetic energy propagating in free space expressed in volts per meter (V/m).
- 1. <u>High Intensity Radiated Fields (HIRF)</u>. The electromagnetic environment that exists from the transmission of high power RF energy into free space.

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- m. <u>HIRF Vulnerability</u>. The susceptibility characteristics of a system that cause it to suffer adverse effects when performing its intended function as a result of having been subjected to a HIRF environment.
- n. <u>Immunity</u>. The capacity of a system or piece of equipment to continue to perform its intended function, in an acceptable manner, in the presence of RF fields.
- o. <u>Interface Circuit</u>. The electrical or electronic device connecting the electrical inputs and outputs of equipment to other equipment or devices in an aircraft.
- p. <u>Internal HIRF Environment</u>. The RF environment inside an airframe, equipment enclosure, or cavity. The internal RF environment is described in terms of the internal RF field strength or wire bundle current.
- q. <u>Margin</u>. The difference between equipment susceptibility or qualification levels and the aircraft internal HIRF environment. Margin requirements may be specified to account for uncertainties in design, analysis, or test.
- r. Modulation. A process whereby certain characteristics of a wave, often called the carrier wave, are varied in accordance with an applied function.
- s. Radio Frequency (RF). A frequency useful for radio transmission. The present practical limits of RF transmissions are roughly 10 kilohertz (kHz) to 100 gigahertz (GHz). Within this frequency range, electromagnetic energy may be detected and amplified as an electric current at the wave frequency.
- t. Reflection Plane. Conducting plate that reflects RF signals.
- u. <u>Similarity</u>. The process of using existing HIRF compliance documentation and data from a system or aircraft to demonstrate HIRF compliance for a nearly identical system or aircraft of equivalent design, construction, and installation.
- v. <u>Susceptibility</u>. The property of a piece of equipment that describes its capability to function acceptably when subjected to unwanted electromagnetic energy.
- w. <u>Susceptibility Level</u>. The level where the effects of interference from electromagnetic energy become apparent.

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- x. System. A piece of equipment connected via electrical conductors to another piece of equipment, both of which are required to make a system function. A system may contain many pieces of equipment and wire bundles.
- y. <u>Transfer Function</u>. The ratio of the electrical output of a system to the electrical input of a system, expressed in the frequency domain. For HIRF, a typical transfer function is the ratio of the current on a wire bundle to the external HIRF field strength, as a function of frequency.
- z. <u>Upset</u>. An impairment of system operation, either permanent or momentary. For example, a change of digital or analog state that may or may not require a manual reset.

6. APPROACHES TO COMPLIANCE.

- a. <u>General</u>. The following activities should be elements of a HIRF certification program. The iterative application of these activities is left to the applicant and adherence to the sequence shown is not necessary. The applicant should—
 - (1) Identify the systems to be assessed;
- (2) Establish the applicable external HIRF environment;
- (3) Establish the test environment for installed systems;
- (4) Apply the appropriate method of HIRF compliance verification; and
- (5) Verify compliance with HIRF certification requirements.

b. Identify the Systems to be Assessed.

(1) General. The aircraft systems that require HIRF assessment must be identified. The process used for identifying these systems should be similar to the process for showing compliance with §\$ 23.1309, 25.1309, 27.1309, and 29.1309, as applicable. These sections address any system failure that may cause or contribute to an effect on the safety of flight of an aircraft. The effects of an encounter with HIRF, therefore, should be assessed in a manner that allows for the determination of the degree to which the aircraft and/or its systems safety may be influenced. The operation of the aircraft systems should be

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assessed separately and in combination with, or in relation to, other systems. This assessment should cover all normal aircraft operating modes, stages of flight, and operating conditions; all failure conditions and their subsequent effect on aircraft operations and the flightcrew; and any corrective actions required.

Safety Assessment. A safety assessment related to HIRF should be performed to establish and classify the equipment or system failure condition. provides the corresponding failure condition classification and system HIRF certification level for the appropriate HIRF regulations. The failure condition classifications and terms used in this AC are similar to those used in AC 23.1309-1C and AC 25.1309-1A, as applicable. Only those systems identified as performing or contributing to functions whose failure would result in catastrophic, hazardous, or major failure conditions are subject to HIRF regulations. The failure classifications "minor" and "no safety effect" used in AC 23.1309-1C and AC 25.1309-1A are not used in this AC. Based on the failure condition classification established by the safety assessment, the systems should be assigned appropriate HIRF certification levels, as shown in table 1. Further guidance on performing the safety assessment can be found in AC 23.1309-1C, AC 25.1309-1A, SAE ARP 4754, and SAE ARP 4761.

Table 1 - HIRF Failure Conditions and System HIRF Certification Levels

HIRF Requirements Excerpts from §§ 23.1308, 25.1317, 27.1317, and 29.1317	Failure Condition	System HIRF Certification Level
Each electrical and electronic system that performs a function whose failure would prevent the continued safe flight and landing of the rotorcraft/airplane	Catastrophic	А
Each electrical and electronic system that performs a function whose failure would significantly reduce the capability of the rotorcraft/airplane or the ability of the flightcrew to cope with adverse operating conditions	Hazardous	В
Each electrical and electronic system that performs such a function whose failure would reduce the capability of the	Major	С

rotorcraft/airplane or the	ALPHANIST - 1911 - 1911 - 1911 - 1911 - 1911 - 1911 - 1911 - 1911 - 1911 - 1911 - 1911 - 1911 - 1911 - 1911 - 1
ability of the flightcrew to cope with adverse operating	
conditions	

- show that some systems have different failure conditions in different phases of flight; therefore, different HIRF requirements may have to be applied to the system for different phases of flight. For example, an automatic flight control system may have a catastrophic failure condition for autoland, while automatic flight control system operations in cruise may have a hazardous failure condition.
- c. Establish the Applicable Aircraft External HIRF Environment. The external HIRF environments I, II, and III used for aircraft HIRF certification are shown in tables 2, 3, and 4, respectively. The field strength values for the HIRF environments and test levels are expressed in root-mean-square (rms) units measured during the peak of the modulation cycle, which is how many laboratory instruments indicate amplitude.

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Table 2 - HIRF ENVIRONMENT I

				••	FIELD STRENGTH (V/M)		
	FR	EQ	UENC	Y 	PEAK	AVERAGE	
10	kHz		100	kHz	50	50	
100	kHz	_	500	kHz	50	50	
500	kHz	-	2	MHz	50	50	
2	MHz	_	30	MHz	100	100	
30	MHz	_	70	MHz	50	50	
70	MHz	_	100	MHz	50	50	
100	MHz	_	200	MHz	100	100	
200	MHz	_	400	MHz	100	100	
400	MHz	_	700	MHz	700	50	
700	MHz	-	1	GHz	700	100	
1	GHz	_	2	GHz	2,000	200	
2	GHz	_	4	GHz	3,000	200	
4	GHz	_	6	GHz	3,000	200	
6	GHz	_	8	GHz	1,000	200	
8	GHz	_	12	GHz	3,000	300	
12	GHz	_	18	GHz	2,000	200	
18	GHz	_	40	GHz	600	200	

In this table, the higher field strength applies at the frequency band edges.

Table 3 - HIRF ENVIRONMENT II

FREQUENCY					FIELD STRENGTH (V/M)		
					PEAK	AVERAGE	
10	kHz	_	100	kHz	20	20	
100	kHz	_	500	kHz	20	20	
500	kHz	_	2	MHz	30	30	
2	MHz		30	MHz	100	100	
30	MHz	-	70	MHz	10	10	
70	MHz	_	100	MHz	10	10	
100	MHz	_	200	MHz	30	10	
200	MHz	_	400	MHz	10	10	
400	MHz	_	700	MHz	700	40	
700	MHz	_	1	GHz	700	40	
1	GHz	_	2	GHz	1,300	160	
2	GHz	_	4	GHz	3,000	120	
4	GHz	_	6	GHz	3,000	160	
6	GHz	_	8	GHz	400	170	
8	GHz	-	12	GHz	1,230	230	
12	GHz	_	18	GHz	730	190	
18	GHz	_	40	GHz	600	150	

In this table, the higher field strength applies at the frequency band edges.

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Table 4 - HIRF ENVIRONMENT III

	FIELD STRENGTH (V/M)		
FREQUENCY	PEAK	AVERAGE	
10 kHz - 100 kHz	150	150	
100 kHz - 500 kHz	200	200	
500 kHz - 2 MHz	200	200	
2 MHz - 30 MHz	200	200	
30 MHz - 70 MHz	200	200	
70 MHz - 100 MHz	200	200	
100 MHz - 200 MHz	200	200	
200 MHz - 400 MHz	200	200	
400 MHz - 700 MHz	730	200	
700 MHz - 1 GHz	1,400	240	
1 GHz - 2 GHz	5,000	250	
2 GHz - 4 GHz	6,000	490	
4 GHz - 6 GHz	7,200	400	
6 GHz - 8 GHz	1,100	170	
8 GHz - 12 GHz	5,000	330	
12 GHz - 18 GHz	2,000	330	
18 GHz - 40 GHz	1,000	420	

In this table, the higher field strength applies at the frequency band edges.

d. Establish the Test Environment for Each System.

- (1) <u>General</u>. The external HIRF environment will penetrate the aircraft and establish an internal RF environment to which installed electrical and electronic systems will be exposed. The resultant internal RF environment is caused by a combination of factors, such as aircraft seams and apertures, reradiation from the internal aircraft structure and wiring, and characteristic aircraft electrical resonance.
- (2) Level A Systems. The resulting internal HIRF environments for level A systems are determined by aircraft attenuation to HIRF environments I, II, or III, as applicable. The attenuation is aircraft- and zone-specific and should be established by aircraft test, analysis, or similarity. Further details are in paragraph 10 of this AC.
- (3) <u>Level B Systems</u>. The internal RF environments for level B systems are defined in appendix J to Part 23, appendix K to Part 25, appendix D to Part 27, and appendix E to Part 29, as applicable, as equipment HIRF test levels 1, 2, and 3. Further details are in paragraph 10 of this AC.
- (4) <u>Level C Systems</u>. The internal RF environment for level C systems is defined in appendix J to Part 23, appendix K to Part 25, appendix D to Part 27, and appendix E to Part 29, as applicable, as equipment HIRF test level 4. Further details are in paragraph 10 of this AC.
- e. Apply the Appropriate Test Requirements. Table 5 summarizes the relationship between the aircraft performance requirements in the HIRF regulations, and the HIRF environments and test levels.

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Table 5 - HIRF Certification Requirements Summary

HIRF Failure Condition from §§ 23.1308, 25.1317, 27.1317, and 29.1317	Performance Criteria	Item the Environment or Test Level Applies To	HIRF Environment or Test Level
Each electrical and electronic system that performs a function whose failure would prevent the continued safe flight and landing of the airplane/rotorcraft must be designed and installed so that—	Each function is not adversely affected during and after the time	the airplane/rotorcraft	is exposed to HIRF environment I.
	Each electrical and electronic system automatically recovers normal operation, in a timely manner after unless this conflicts with other operational or functional requirements of that system	the airplane/rotorcraft	is exposed to HIRF environment I.
	Each electrical and electronic system is not adversely affected during and after	the airplane/rotorcraft	is exposed to HIRF environment II.
	Each function required during operation under visual flight rules is not adversely affected during and after	the rotorcraft	is exposed to HIRF environment III (Parts 27 and 29 only).
Each electrical and electronic system that performs a function whose failure would significantly reduce the capability of the airplane/rotorcraft or the ability of the flightcrew to cope with adverse operating conditions must be designed and installed so that—	the system is not adversely affected when	the equipment providing these functions	is exposed to equipment HIRF test level 1, 2, or 3.
Each electrical and electronic system that performs such a function whose failure would reduce the capability of the	the system is not adversely affected when	the equipment providing these functions	is exposed to equipment HIRF test level 4.

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airplane/rotorcraft or the ability of the flightcrew to cope with adverse operating conditions must be designed and installed so that—

f. <u>Verification of Compliance with the Certification</u> Requirements.

- (1) $\underline{\text{General}}$. Verification of compliance with the HIRF certification requirements should be shown by evidence that the internal HIRF environment at equipment interfaces and enclosures does not exceed the equipment's or system's HIRF test levels.
- (2) Pass/Fail Criteria. The specific HIRF compliance pass/fail criteria for each system, related to the applicable HIRF regulation performance criteria, should be established by the applicant and approved by the FAA. The means for monitoring system performance relative to these criteria also should be established by the applicant and approved by the FAA. All effects that define the pass/fail criteria should be the result of identifiable and traceable analysis that includes both the separate and interdependent operational characteristics of the systems. The analysis should evaluate the failures, either singularly or in combination, that could adversely affect system performance. This should include failures that could negate any built-in redundancy within the system or could influence more than one system performing the same function.
- 7. MARGINS. A margin may be necessary to account for uncertainties involved in analyses and test measurements. A margin normally is not required for analyses or measurements that are based on proven data. Where data have limited background for substantiation, a margin may be required depending on the available justifications. The User's Guide contains a detailed description of margins.
- 8. AIRCRAFT TYPE CERTIFICATIONS. The design and verification data to support a submission for a TC, amended TC, or STC should be acquired through a logical and traceable procedure. The procedure should begin with the definition stage, where the system requirements are generated and defined, and end with demonstration of compliance with system requirements. HIRF compliance considerations should be included as a part of the certification plan.

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9. HIRF COMPLIANCE.

a. HIRF Compliance Plan. An overall HIRF compliance plan should be established to clearly identify and define HIRF certification requirements, HIRF protection development, and the design, test, and analysis activities intended to be part of the compliance effort. This plan should provide definitions of the aircraft systems, installations, and protective features against which HIRF compliance will be assessed. The HIRF compliance plan should be discussed with and submitted to the FAA for approval before being implemented. If the aircraft, system, or installation design changes after FAA approval, a revised HIRF compliance plan should be submitted to the FAA for approval. The HIRF compliance plan should include the following:

- (1) A HIRF compliance plan summary;
- (2) Identification of the aircraft systems, with classification based on the safety assessment as it relates to HIRF;
- (3) The HIRF environment for the aircraft and installed systems; and
- (4) The verification methods, such as test, analysis, or similarity.
- b. HIRF Verification Test, Analysis, or Similarity Plan. Specific HIRF test, analysis, or similarity plans should be prepared to describe specific verification activities. One or more verification plans may be necessary. For example, there may be several systems or equipment laboratory test plans, an aircraft test plan, or a similarity plan for selected systems on an aircraft.

(1) Test Plan.

(a) A HIRF compliance test plan should include the objectives, both at equipment and system level, for the acquisition of data to support HIRF compliance verification. The plan should provide an overview of the factors being addressed for each system test requirement and should not list only the test items and intended test locations. The test plan should include the following information:

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- 1. The purpose of the test,
- $\underline{2.}$ A description of the aircraft and/or system being tested,
 - 3. System configuration drawings,
 - 4. The proposed test setup and methods,
 - 5. Intended test levels and frequency

bands,

- 6. Pass/fail criteria, and
- 7. The test schedule and test location.
- (b) The test plan should cover the level A, B, or C systems and equipment. Level A systems may require both a laboratory and an installed-on-aircraft test. Level A display systems may require an integrated system rig test, in addition to a generic attenuation assessment. Level B or level C systems and equipment may require only equipment bench testing.
- (c) The test plan should describe the appropriate aspects of the systems to be tested and their installation. Additionally, the test plan should reflect the results of any analysis performed in the overall process of the HIRF compliance evaluation.
- (2) Analysis Plan. A HIRF compliance analysis plan should include the objectives, both at the system and equipment level, for generating data to support HIRF compliance verification. Comprehensive modeling and analysis for RF field coupling to aircraft systems and structures is an emerging technology; therefore, the analysis plan should be coordinated with the FAA to determine an acceptable scope for the analysis. The analysis plan should include—
 - (a) The purpose and scope of the analysis;
- (b) A description of the aircraft and/or system addressed by the analysis;
 - (c) System configuration descriptions;
 - (d) Proposed analysis methods;
- (e) The approach for validating the analysis results; and

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(f) Pass/fail criteria, including margins to account for analysis uncertainty.

- (3) Similarity Plan. A similarity plan should describe the approach undertaken to use the certification data from previously certified systems, equipment, and aircraft in the proposed HIRF compliance program. The similarity plan should include—
- (a) The purpose and scope of the similarity assessment;
- (b) Specific systems addressed by the similarity assessment;
- (c) Data that will be used from the previously certified systems, equipment, and aircraft; and
- (d) Any significant differences between the aircraft and system installation proposed for certification and the aircraft and system installation from which the data will be used.
- c. <u>Compliance Reports</u>. One or more compliance reports may be necessary to document the results of test, analysis, or similarity assessments. For new or significantly modified aircraft, HIRF compliance reports may include many system and equipment test reports, aircraft test reports, and HIRF vulnerability analysis reports. For these types of HIRF certification programs, a compliance summary report may be useful to summarize the results of tests and analysis. For HIRF certification programs on relatively simple systems, a single compliance report may be adequate.
- (1) <u>Test Reports</u>. Comprehensive test reports should be produced at the conclusion of HIRF compliance testing. The test reports should include descriptions of the salient aspects of equipment or system performance during the test, details of any area of noncompliance with HIRF requirements, actions taken to correct the noncompliance, and any similarity declarations. Any supporting rationale related to any observed deviations from system performance during testing also should be provided.
- (2) <u>Analysis Reports</u>. Analysis reports should describe the details of the analytical model, the methods used to perform the analysis, and the results of the analysis. The reports should identify any modeling uncertainty.

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(3) <u>Similarity Reports</u>. The similarity reports should document the significant aircraft, system, equipment, and installation features common between the aircraft or system that is the subject of the similarity analysis and the aircraft or system that previously was certified for HIRF. Any significant differences should be identified, along with the assessment of the impact of these differences on HIRF compliance.

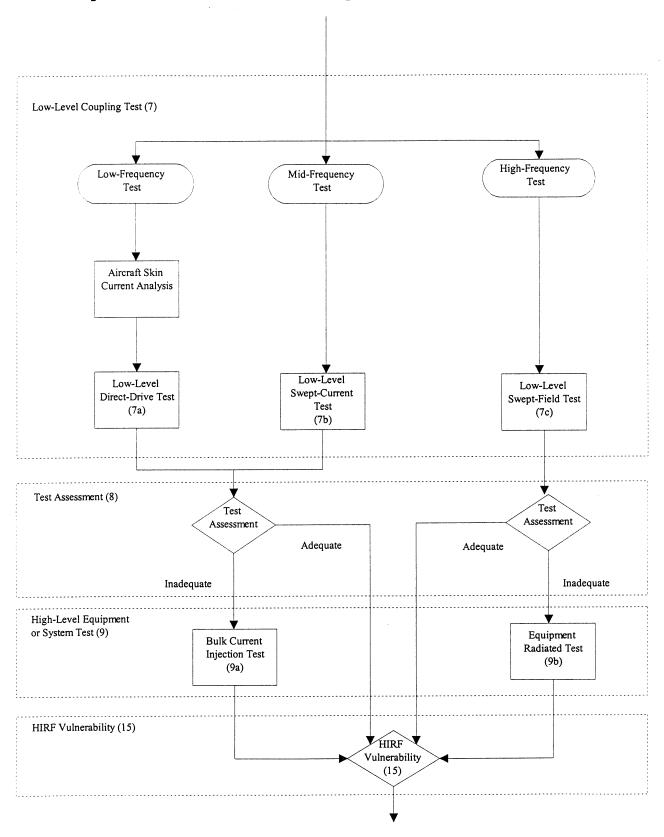
d. Major Elements of Compliance Verification.

- (1) Various methods are available to aid in demonstrating HIRF compliance. These methods are described in the following paragraphs and represent those that have evidence of practical application and are acceptable to the FAA. Figures 1 and 2 outline the possible steps to HIRF compliance for systems requiring level A, B, or C HIRF certification.
- (2) Other HIRF compliance techniques may be considered to demonstrate system performance in the HIRF environment, but should be approved by the FAA before their use.

Design Assessment: Equipment and Installation Route to Compliance (2) Test Level A Level B and C Decision (Aircraft) (Equipment) (3) Equipment Integrated Equipment Test System Rig Test Test (4) (5) (12)Similarity (13) Yes Generic Transfer Display Function / Attenuation (11) No Other Methods (14) Aircraft Test Decision (6) Low Level High Level Test Coupling Test (10) (7) Corrective Measures (16)HIRF Vulnerability Test Assessment (15)High Level Certification Equipment or (17) System Test (9)

Figure 1 - Routes to HIRF Compliance

Figure 2 - Routes to HIRF Compliance (Steps 7 through 9)



10. STEPS TO HIRF COMPLIANCE.

a. Step 1 - Design Assessment: Equipment and Installation.

- (1) The initial step in HIRF compliance is to determine the HIRF environment to which the aircraft, equipment, or system should be exposed. The equipment or system failure condition classification should be determined from a safety assessment. According to the system failure condition classification, the system will be designated as level A, B, or C. The HIRF environment to which the aircraft, equipment, or system will be exposed is based on whether the system is designated as level A, B, or C.
- (2) Because equipment or system tests may occur before aircraft-level testing and before the actual internal HIRF environment is known, the equipment or system test levels should be selected based on an estimate of the expected internal HIRF environment.
- b. Step 2 Route to Compliance. The route to be taken for HIRF compliance will vary depending on whether the system was designated as level A, B, or C. The decision should be made whether to use test (step 3), similarity (step 13), or other methods (step 14), including analysis for HIRF compliance verification.
- c. Step 3 Test Decision. Level A systems will require an aircraft assessment and should proceed to step 4. Level B and C systems will require equipment testing and should proceed to step 12.

d. Step 4 - Equipment Test.

(1) The radiated and conducted RF susceptibility test procedures of RTCA/DO-160, revision D or later, section 20, or equivalent, may be used to build confidence in the equipment's performance before conducting integrated system rig testing in step 5. The equipment should be tested in accordance with the test levels (wire bundle currents and RF field strengths) of RTCA/DO-160, revision D or later, or to a level derived from the analysis of the aircraft and equipment installation for a given external HIRF environment.

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(2) Equipment development testing may be used to augment the compliance test submission where appropriate. If equipment that was not subject to the equipment qualification testing of paragraph 10.d.(1) above is used within the system to be tested, then the HIRF compliance certification may be achieved by step 5, and step 4 may be omitted.

e. <u>Step 5 - Integrated System Rig Test.</u>

- (1) A radiated and conducted RF susceptibility test on an integrated system rig should be performed for level A systems.
- (2) If the results of the tests conducted on an integrated system rig are comparable to the results obtained in step 7 and characterize the equipment's or system's final installation in the aircraft, high-level tests on equipment or systems in step 9 may not be required.
- (3) The physical installation of the equipment or system in the integrated system rig assembly should be similar to that used in the aircraft. For example, the bonding and grounding of the system, the wiring harness detail, and the relative position of the elements to each other and the ground plane should match closely the equipment's or system's installation on the aircraft to be certificated.
- (4) The internal HIRF environment should be determined by using analysis, previous coupling/attenuation data from similar aircraft types, or, for level A display systems only, the generic transfer function/attenuation curves in appendix 1 to this AC.
- (5) A method of comparing the integrated system rig test of step 5 with the internal RF current or RF fields measured during the low-level coupling test of step 7 should be defined to show that the internal RF environment is lower than the equipment or system test levels in step 15, HIRF vulnerability. This method should be included in the HIRF compliance plan. The method should enable a direct comparison between the equipment or system test level and the aircraft internal HIRF environment at the equipment or system location, in terms of field strength (>100 megahertz (MHz)) and current (<400 MHz). The comparison method should ensure that—

(a) The laboratory test configuration includes all significant aspects of the aircraft installation considered necessary in the demonstration process for that portion of the system to be certified.

- (b) The system is tested in an operational state with the input sensors operational. The input sensors may be simulated by test sets, provided the test sets accurately represent the terminating impedance of the sensor. Additionally, the sensors should be evaluated individually and shown to meet the HIRF requirements with respect to their locations.
- (c) The test procedures used and test levels employed are selected to simulate the conditions created by the aircraft internal HIRF environment when the aircraft operates in the appropriate external HIRF environment. Suitable test procedures are described in detail in the User's Guide. BCI tests should be used from 10 kHz to 400 MHz, and radiated susceptibility testing should be used from 100 MHz to 18 GHz.
- (6) The test time may be minimized by using only the modulation to which the system under evaluation is most sensitive. The User's Guide provides guidance on modulation selection and suggested default modulations and dwell times.
- (7) Standard RTCA/DO-160, revision D or later, equipment tests normally are not sufficient to show HIRF compliance for step 5. However, if the requirements of paragraphs 10.e.(5)(a), (b), and (c) above are met, then the standard integrated system rig tests can be used.

f. Step 6 - Aircraft Test Decision.

(1) Various aircraft test procedures are available, recognized, and accepted for collecting data for HIRF compliance verification. The two main approaches to aircraft testing are the low-level coupling test (step 7) and the high-level test (step 10). Low-level coupling involves measuring the airframe attenuation and confirming that the integrated system rig test levels reflect the internal HIRF environment measured. The high-level equipment field-illumination test involves radiating the aircraft at test levels equal to the applicable external HIRF environment as provided in paragraph 6.c. of this AC.

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(2) Some test procedures may be more appropriate than others because of the size of the aircraft and the practicality of illuminating the entire aircraft with the appropriate external HIRF environment. The HIRF compliance testing proposed in figure 2 may be more suitable for testing large aircraft than the high-level equipment field-illumination test in step 10, which requires illumination of the entire aircraft with the external HIRF environment.

g. Step 7 - Low-Level Coupling Test.

(1) General.

- (a) The low-level coupling test involves three different tests, briefly described below, that cover the frequency range of 10 kHz to 18 GHz (see figure 2). Detailed descriptions are available in the User's Guide. Other techniques may be valid and should be discussed with and approved by the FAA before being used.
- (b) The low-level direct-drive test (step 7a) and the low-level swept-current test (step 7b) are used for frequencies below 400 MHz, and the low-level swept-field test (step 7c) is used for frequencies above 400 MHz. There is an overlap of test frequencies from 100 MHz to 400 MHz in the low-level swept-current test and the low-level swept-field test. The division at 400 MHz is not absolute but rather depends on when HIRF penetration of the equipment case becomes a significant factor.
- (c) Low-level coupling tests should cover the frequency range of 10 kHz to 18 $\,\mathrm{GHz}$.
- Step 7a Low-Level Direct-Drive Test. Low-level direct-drive tests should be used to measure the transfer function between the skin current and individual equipment wire bundle currents. This test is typically used in the frequency range from 10 kHz to the first airframe resonance. For the low-level direct-drive test to be applied successfully, a three-dimensional model of the aircraft should be derived using aircraft skin current analysis (see the User's Guide) such that the three-dimensional model can then be used to derive a representation of the aircraft's skin current pattern for a given external HIRF environment. If the relationship between the external HIRF environment and the skin current is known for all illumination angles and polarization, either because of aircraft skin current analysis or the use of the low-level swept-current test, the skin current can be

set up by direct injection into the airframe. The resultant currents on the aircraft or equipment wire bundles are measured with a current probe and normalized to the external unit field strength so they can be scaled to the appropriate external HIRF environment. This test method has improved sensitivity over the low-level swept-current test and may be necessary for small aircraft or aircraft with high levels of fuselage shielding.

(3) Step 7b - Low-Level Swept-Current Test.

- (a) The low-level swept-current test involves illuminating the aircraft with an external HIRF field to measure the transfer function between the external field and the aircraft and equipment wire bundle currents. This test is typically used in the frequency range of 0.5 MHz to 400 MHz. The transfer function is resonant in nature and is dependent on both the aircraft structure and the equipment or system installation. Because the transfer function relates wire bundle currents to the external field, the induced bulk current injection test levels can be related to an external HIRF environment.
- Transmit antennas should be placed at four separate positions around the aircraft, typically the nose, tail, and each wing. The aircraft should be illuminated by one antenna at a time with both horizontally and vertically polarized swept frequency fields, and the currents induced on the aircraft wire bundles should be measured. The ratio between the induced wire bundle current and the illuminating antenna field strength should be calculated and normalized to 1 V/m. This calculation should provide the transfer function in terms of induced current per unit external field strength that can then be extrapolated to the required HIRF field strength by multiplying the induced current at 1 V/m by the external HIRF field strength. extrapolated HIRF currents for all measurement configurations for each aircraft wire bundle being assessed should be overlaid and a worst-case induced current profile should be produced. These current profiles should be compared with the induced current measured during the tests in step 5 or step 9. When compared with the current measured during tests in step 5, the comparison may not show equivalence because of changes in equipment or system installation, for example, wire bundle lengths, screening and bonding, wire bundle composition, or differences between equipment qualification and aircraft test levels.

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(c) The worst-case current signature for a particular wire bundle should be compared to the current induced at the particular test level or equipment malfunction over discrete frequency ranges such as 0.05 MHz to 0.5 MHz, 0.5 MHz to 30 MHz, and 30 MHz to 100 MHz. This comparison should be broken into discrete frequency ranges because the resonance may differ between equipment test and aircraft test levels.

Low-level swept-field testing is typically used from 100 MHz to 18 GHz. The test procedures for the low-level swept-field test are similar to those used for the low-level swept-current test; however, in the low-level swept-field test, the internal RF fields in the vicinity of the equipment are measured instead of the wire bundle currents. Various techniques can be used to ensure the maximum internal field in the vicinity of the equipment is measured. Depending on the size of the aircraft and the size of the aircraft cabin, flight deck, and equipment bays, multipoint measurement or mode stirring can be used. See the User's Guide for detailed low-level swept-field test procedures.

h. Step 8 - Test Assessment.

- (1) At this point, the internal RF fields and wire bundle currents produced by the appropriate external HIRF environment should be known based on the measurements made in step 7. These measurements should be compared with the test levels used in step 5.
- (2) Step 9a should be performed on the aircraft or a representative system integration rig if the above comparison shows that—
- (a) Significant configuration differences were identified between the integrated system rig used for conducted RF susceptibility testing in step 5, and the actual aircraft system installation;
- (b) The conducted RF susceptibility test levels used in step 5 were too low when compared with the aircraft-induced currents measured in step 7; or
 - (c) Potential HIRF susceptibility may exist.
- (3) Step 9b should be performed on systems installed on the aircraft or a representative integrated system rig if the above comparison shows that—

(a) Significant configuration differences were identified between the integrated system rig used for radiated RF susceptibility testing in step 5, and the actual aircraft system installation;

- (b) The RF fields used in step 5 were too low when compared with the aircraft internal fields measured in step 7; or
 - (c) Potential HIRF susceptibility may exist.
- (4) If the measurements made in step 5 are comparable to those made in step 7, then step 9 may be omitted and step 15 should be performed next.

i. Step 9 - High-Level Equipment or System Test.

- (1) <u>General</u>. The various procedures that should be used in this step are described briefly below. Detailed descriptions are available in the User's Guide. Other techniques may be valid, but should be proposed in the HIRF compliance plan and approved by the FAA before use.
- (2) Overlap Procedures. The high-level test procedures are typically divided at 400 MHz. The division at 400 MHz is not definitive but rather depends on when RF penetration of the equipment case becomes a factor. It is therefore necessary to overlap the two procedures described below to ensure the primary coupling route is tested.

(3) Step 9a - Bulk Current Injection Test.

- (a) High-level wire bundle bulk current injection (BCI) should be used at frequencies below 400 MHz to measure the current at equipment malfunction or equipment test levels when RF currents are injected into the equipment wiring via a current transformer.
- (b) The system should be tested using the test levels determined in step 7a or step 7b. Each wire bundle in the system should be injected and the induced wire bundle current measured. If a wire bundle branches, then each relevant wire branch containing system wiring should be tested.

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(c) To ensure the systems under test are tested when operating at their maximum sensitivity, each system should be operational and the aircraft or integrated system rig should be placed in various simulated operating modes. Simultaneous multi-bundle BCI may be necessary on systems where, for example, there are redundant/multichannel architectures.

(d) The test time should be minimized by using only the modulation to which the system under evaluation is most sensitive. See the User's Guide for guidance on modulation selection and suggested default modulations and dwell times.

(4) Step 9b - Equipment Radiated Test.

- (a) High-level equipment radiated susceptibility tests should be used at frequencies greater than 100 MHz. The equipment should be installed on the aircraft. The internal RF field in the vicinity of the equipment under evaluation should be determined using the transfer function or attenuation measured in step 7 and the appropriate external HIRF environment. The equipment under evaluation should be radiated by this HIRF environment using antennas inside the aircraft in the vicinity of the equipment. The radiating antenna should be far enough away to ensure the total volume of the equipment and that at least half a wavelength of the wiring is simultaneously and uniformly illuminated during the test.
- (b) The test time should be minimized by using only the modulation to which the system under evaluation is most sensitive. See the User's Guide for guidance on modulation selection and suggested default modulations and dwell times.

j. <u>Step 10 - High-Level Test</u>.

(1) General.

- (a) The high-level test requires the generation of RF fields external to an aircraft at a level equal to the applicable external HIRF environment.
- (b) At frequencies below 400 MHz, the aircraft and the radiating antenna should be separated to ensure the aircraft is illuminated uniformly by the external HIRF environment. Typically, four radiating antenna positions should be used, illuminating the nose, tail, and each wingtip. The aircraft should be illuminated by one antenna

at a time, with both horizontally and vertically polarized fields. The RF field should be calibrated by measuring the RF field strength in the center of the test volume before the aircraft is placed there.

- (c) At frequencies above 400 MHz, the RF illumination should be localized to the system under test, provided all parts of the system and at least one wavelength of any associated wiring (or the total length if less than one wavelength) are illuminated uniformly by the RF field. Relevant apertures on the bottom and top of the aircraft should be illuminated by using reflection planes.
- (d) To ensure the systems are tested when operating at their maximum sensitivity, level A systems should be fully operational and the aircraft should be placed in various simulated operating modes.
- (e) The test time should be minimized by using only the modulation to which the system under evaluation is most sensitive. The User's Guide provides guidance on modulation selection and suggested default modulations and dwell times.
- alternative to testing at frequencies below the first airframe resonance, it is possible to inject high-level currents directly into the airframe using the techniques similar to those described in step 7. Aircraft skin current analysis should be performed as described in the User's Guide, or low-level swept-current measurements should be made to determine the skin current distribution that will exist for different RF field polarizations and aircraft illumination angles so that these can be simulated accurately during this test. Aircraft high-level direct-drive testing, although applicable only from 10 kHz to the first aircraft resonant frequency, is advantageous because it is possible to test all systems simultaneously.

k. Step 11 - Generic Attenuation (Level A Display Systems).

(1) Level A displays involve functions for which the pilot will be within the loop through pilot/system information exchange. For level A display systems, the aircraft attenuation data may be determined by analysis using generic attenuation and transfer function data. This approach should not be used for other level A systems, such as control systems, because failures and malfunctions of

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those systems can more directly and abruptly contribute to a catastrophic failure event than display system failures and

malfunctions; therefore, other level A systems require a more rigorous HIRF compliance verification program.

- (2) The test levels required in Step 5 may be derived from the generic transfer function and attenuation curves for the different types of aircraft. Acceptable transfer function curves for calculating the test levels are given in appendix 1 to this AC. Appendix 1 to this AC also contains guidelines for selecting the proper generic attenuation curve. These curves show the envelope of the peak currents that might be expected to be induced in the generic types of aircraft in an external HIRF environment of 1 V/m. The peak current levels should be multiplied linearly by the appropriate external HIRF environment to provide the test levels within the limits described in the User's Guide.
- (3) The internal HIRF environment would be, in V/m, the external HIRF environment divided by the appropriate attenuation, in linear units. For example, 20 dB or a 10:1 attenuation means the test level is the relevant external HIRF environment reduced by a factor of 10 in terms of electric field strength.
- (4) The internal HIRF environments also can be measured using on-aircraft low-level coupling measurements of the actual equipment installation. This procedure should provide more accurate information to the user, and the test levels may be lower than the generic curves, as the generic curves are worst-case estimates.
- 1. Step 12 Equipment Test (for Level B and Level C Systems).
- (1) General. Level B or level C systems do not require the same degree of HIRF compliance testing as level A systems. Level B or level C systems do not require aircraft-level testing; standard RTCA/DO-160, revision D or later, test procedures, using suitably defined equipment or system test levels, should be used. The test limits used should depend on whether the system is categorized as level B or level C. When applying modulated signals, the test levels are given in terms of the peak of the test signal as measured by a rms-indicating spectrum analyzer's peak detector. See the User's Guide for more details on modulation.

(2) Level B Systems. Equipment HIRF test level 1, 2, or 3 from the HIRF regulation must be used for level B systems. Test Category R in RTCA/DO-160 revision D, section 20, is the same as equipment HIRF test levels 1 and 2. Equipment HIRF test levels 1, 2, and 3 from appendix J to Part 23, appendix K to Part 25, appendix D to Part 27, and appendix E to Part 29, as applicable, are repeated here for convenience.

(a) Equipment HIRF Test Level 1.

- $\underline{1.}$ From 10 kHz to 400 MHz, use conducted susceptibility tests with continuous wave (CW) and 1 kHz square wave modulation with 90 percent depth or greater. The conducted susceptibility current must start at a minimum of 0.6 milliamperes (mA) at 10 kHz, increasing 20 dB per frequency decade to a minimum of 30 mA at 500 kHz.
- $\underline{2}$. From 500 kHz to 400 MHz, the conducted susceptibility current must be at least 30 mA.
- 3. From 100 MHz to 400 MHz, use radiated susceptibility tests at a minimum of 20 volts per meter (V/m) peak, with CW and 1 kHz square wave modulation with 90 percent depth or greater.
- $\underline{4.}$ From 400 MHz to 8 gigahertz (GHz), use radiated susceptibility tests at a minimum of 150 V/m peak with pulse modulation of 0.1 percent duty cycle with 1 kHz pulse repetition frequency. This signal must be switched on and off at a rate of 1 Hz with a duty cycle of 50 percent.
- $\underline{5}$. From 400 MHz to 8 GHz, use radiated susceptibility tests at a minimum of 28 V/m peak with 1 kHz square wave modulation with 90 percent depth or greater. This signal must be switched on and off at a rate of 1 Hz with a duty cycle of 50 percent.

(b) Equipment HIRF Test Level 2.

- $\underline{1.}$ From 10 kHz to 400 MHz, use conducted susceptibility tests with CW and 1 kHz square wave modulation with 90 percent depth or greater. The conducted susceptibility current must start at a minimum of 0.6 mA at 10 kHz, increasing 20 dB per frequency decade to a minimum of 30 mA at 500 kHz.
- $\underline{2}$. From 500 kHz to 400 MHz, the conducted susceptibility current must be at least 30 mA.

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3. From 100 MHz to 400 MHz, use radiated susceptibility tests at a minimum of 20 V/m peak with CW and 1 kHz square wave modulation with 90 percent depth or greater.

- $\underline{4.}$ From 400 MHz to 8 GHz, use radiated susceptibility tests at a minimum of 150 V/m peak with pulse modulation of 4 percent duty cycle with a 1 kHz pulse repetition frequency. This signal must be switched on and off at a rate of 1 Hz with a duty cycle of 50 percent.
- (c) Equipment HIRF Test Level 3. Test level 3 is HIRF environment II reduced by acceptable aircraft transfer function and attenuation curves. Testing must cover the frequency band of 10 kHz to 8 GHz. Generic aircraft transfer function and attenuation curves shown in appendix 1 to this AC are acceptable for determining equipment HIRF test level 3.
- (3) Level C Systems. Equipment HIRF test level 4 should be used to test level C systems. Category T in RTCA/DO-160, revision D, section 20, is the same as equipment HIRF test level 4. Equipment HIRF test level 4 from appendix J to Part 23, appendix K to Part 25, appendix D to Part 27, and appendix E to Part 29, as applicable, is repeated here for convenience.
- (a) From 10 kHz to 400 MHz, use conducted susceptibility tests, starting at a minimum of 0.15 mA at 10 kHz, increasing 20 dB per frequency decade to a minimum of 7.5 mA at 500 kHz.
- (b) From 500 kHz to 400 MHz, use conducted susceptibility tests at a minimum of $7.5\ \mathrm{mA}$.
- (c) From 100 MHz to 8 GHz, use radiated susceptibility tests at a minimum of 5 V/m.

m. Step 13 - Similarity.

(1) <u>General</u>. The rules for applying similarity as a means of compliance vary according to the equipment/system failure condition classification.

(2) Level A Systems.

(a) Systems previously certified on one aircraft model may be certified by similarity in another aircraft model as described below. Similarity is not applicable for level A systems consisting of new equipment designs installed in an aircraft with a new design. Systems

previously certified on an aircraft model may be transferred to other aircraft models. Each system considered for certification by similarity needs to be assessed independently even if it may use equipment and installation techniques that have been the subject of a previous certification.

- (b) The system used as the basis for certification by similarity must have been certified previously for HIRF on another aircraft model. Certification by similarity requires a comparison of both equipment and installation differences that could adversely effect HIRF susceptibility. An assessment of a new system installation should consider the differences that could affect the internal HIRF environment of the system and its wiring, such as the following:
- $\underline{1.}$ The aircraft type, equipment locations, airframe construction, and apertures that could affect attenuation for the external HIRF environment;
- $\underline{2.}$ The equipment interfaces, wiring, grounding, bonding, connectors, and wire-shielding practices; and
- $\underline{\mathcal{J}}$. The line replaceable units that comprise the system.
- differences between previously certified installations and the installation to be certified, similarity may be used as the basis for certification without the need for additional tests providing there are no unresolved in-service HIRF problems. If there is uncertainty about the effects of the differences, additional tests and analysis should be conducted as necessary and appropriate to resolve the open issues. The amount of testing to be undertaken should be commensurate with the degree of change identified between the immediate system and its application and those items tested previously. If significant differences are found, similarity should not be used as the basis for certification.
- (3) Level B or Level C Systems. Certification by similarity often is more appropriate for level B or level C systems than for level A systems and can be achieved by demonstrating that the equipment is similar to equipment that has previously met the HIRF test levels appropriate to its assessed failure condition classification as defined in step 12.

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n. Step 14 - Other Methods.

(1) Other methods for HIRF compliance verification include modeling, analysis, and combinations of any method given in the other steps. Comprehensive modeling and analysis for RF field coupling to the aircraft structure is an emerging technology; therefore, modeling and analysis alone currently are not adequate for showing HIRF compliance for level A systems and should be augmented by testing.

- (2) Analytical models representative of the aircraft and transfer function characteristics of the equipment or system installation may be used in conjunction with supporting test data to provide HIRF compliance verification. Any data submitted should take into account the quality of the model and provide the model's assumed accuracy and the margins established by such an assessment. The margins should depend heavily on the quality of the data base used in the model. Significant testing, including aircraft level testing, may be required to support the submission. Models capable of providing detailed system performance assessments related to systems performing level A functions generally are not currently accepted, but accepted practices may develop in the future.
- (3) In some situations, the steps outlined in this AC may not be suitable or new technologies may emerge that offer an alternative approach. In such cases, discussions with the FAA should be held early in the certification process.

o. Step 15 - HIRF Vulnerability.

- (1) The primary intent of the HIRF vulnerability assessment should be to verify that all the functional requirements of the system are met when subjected to the internal HIRF environment generated as a result of the aircraft's or system's exposure to the applicable external HIRF environments. The pass/fail criteria defined in the test plan should be used for this assessment.
- (2) HIRF susceptibilities that were not anticipated or defined in the test plan pass/fail criteria may be found during aircraft, system, or equipment tests. If so, the data collected during the HIRF compliance verification process should be used to determine the effect of the HIRF susceptibility on the aircraft systems and functions. The pass/fail criteria may be modified if the effects neither cause nor contribute to conditions that adversely affect the aircraft functions or systems, as applicable, in the HIRF

regulations. The applicant should provide to the FAA for approval an assessment of and supporting rationale for any modifications to the pass/fail criteria.

- (3) If the level A system passed the required tests when exposed to HIRF environment I or III, as applicable, with no adverse effects, then additional testing in HIRF environment II is not required.
- (4) The system safety assessment may show that the functions performed by the systems do not have the same failure condition classification throughout all phases of flight. Therefore, the HIRF environment appropriate to the sensitive phase of flight should be used for the HIRF vulnerability assessment.
- (5) The HIRF vulnerability analysis documentation should include all observed effects, assumptions, and assessments to show compliance with the HIRF requirements.
- (6) Tests for the HIRF environments above 18 GHz are not required if data and design analysis show the integrated system rig tests results satisfy the pass criteria from 12 GHz to 18 GHz, and the systems have no circuits that operate in the 18 GHz to 40 GHz frequency range.
- (7) Certain RF receivers with antennas connected should not be expected to perform without effects during exposure to the HIRF environments, particularly in the RF receiver operating band. Because the definition of adverse effects and the RF response at particular portions of the spectrum depends on the RF receiver system function, refer to the individual RF receiver minimum performance standards for additional guidance. However, because many RF receiver minimum performance standards were prepared before implementation of HIRF requirements, the RF receiver pass/fail criteria should be coordinated with the FAA. Future modifications of the minimum performance standards should reflect HIRF performance requirements.
- p. Step 16 Corrective Measures. If the equipment or system fails to satisfy the HIRF vulnerability assessment of step 15, corrective action should be taken. Any changes or modifications to the equipment or system and/or its installation may generate the need for retesting. The relevant equipment or system qualification testing of RTCA/DO-160 and/or the aircraft testing, in whole or in part, may need to be repeated to satisfy the requirements of the HIRF compliance submission.

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q. Step 17 — Certification. The applicant should submit the test results and compliance report to the cognizant FAA certification office for approval as part of the overall aircraft type certification or supplemental type certification process.

11. MAINTENANCE, PROTECTION ASSURANCE, AND MODIFICATIONS.

- a. The minimum maintenance required to support HIRF certification should be identified in instructions for continued airworthiness as specified in §§ 23.1529, 25.1529, 27.1529, and 29.1529, as appropriate. When dedicated protection devices or specific techniques are required to provide the protection for an equipment or system installation, periodic maintenance, special tests, and HIRF protection assurance requirements or techniques should be defined to ensure protection integrity is not degraded in service.
- b. The use of devices that may degrade over time because of corrosion, fretting, flexing cycles, or other causes should be avoided. Whenever possible, dedicated replacement times should be identified. Aircraft or system modifications should be assessed for the impact any changes will have on the HIRF protection level. This assessment should be based on analysis and/or measurement.
- c. The techniques and time intervals for evaluating or monitoring the integrity of the equipment's or system's HIRF protection should be defined. Built-in test equipment, resistance measurements, continuity checks of the entire system, or other means should be identified to provide assurance of the system integrity. The User's Guide provides further information on these topics.



APPENDIX 1 - GENERIC TRANSFER FUNCTION/ATTENUATION CURVES

1. GENERIC TRANSFER FUNCTION CURVES.

- a. Suitable transfer functions for calculating the BCI test levels are given in figures A-1 through A-5. These are derived generic transfer functions acquired by tests on a significant number of aircraft, with the test results processed to establish a 95 percent population probability.
- b. The curves are normalized to a 1 V/m HIRF environment and may be multiplied linearly by the external HIRF environment to establish the BCI test level requirements in the frequency range up to 400 MHz.
- c. These generic curves are for wiring bundles running within the airframe with no additional protection such as that provided by conduits or raceways. In the compliance submission, the added protection such measures provide should be demonstrated if a lower test level is considered more representative.

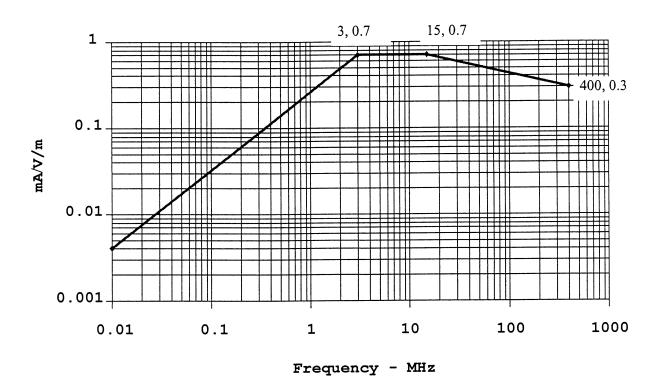
2. GENERIC ATTENUATION CURVES.

- a. Figure A-6 shows the generic attenuation curves that can be used for predicting the internal HIRF environment at the location of the equipment over the frequency band 100 MHz to 18 GHz. This predicted internal HIRF environment then provides the test level for the radiated susceptibility test.
 - b. Guidance on the use of these curves is given below:
- (1) <u>CAT Y</u>. This attenuation curve can be used when the equipment under consideration is located in very severe electromagnetic environments, defined as areas with unprotected nonconductive composite structures, areas where there is no guarantee of structural bonding, and other open areas where no shielding is provided. This attenuation curve also may be used when a broad range of installations is to be covered.
- (2) Cat W. This attenuation curve can be used when the equipment under consideration is located in severe electromagnetic environments, defined as areas outside the fuselage such as wings, fairings, wheel wells, pylons, and control surfaces where minimal shielding is provided. This attenuation curve is not appropriate for equipment installations more appropriately described by the definition of a Cat Y location.

- (3) <u>Cat V</u>. This attenuation curve can be used when the equipment under consideration is contained entirely within a moderate electromagnetic environment, defined as the fuselage of a metallic aircraft or composite aircraft demonstrating equivalent shielding effectiveness. Examples of such an environment are avionics bays not enclosed by bulkheads, cockpit areas, and locations with large apertures, such as doors without EMI gaskets, windows, and access panels. Current-carrying conductors in this environment, such as hydraulic tubing, control cables, wire bundles, and metal wire trays, are not necessarily electrically grounded at bulkheads. This attenuation curve is not appropriate for equipment installations more appropriately described by the CAT W and CAT Y locations.
- (4) <u>CAT U</u>. This attenuation curve can be used when the equipment under consideration is contained entirely within a partially protected environment, defined as the fuselage of a metallic aircraft or composite aircraft demonstrating equivalent shielding effectiveness. Wire bundles in this environment passing through bulkheads should have shields terminated at the bulkhead connector. Wire bundles should be installed close to the ground plane and take advantage of other inherent shielding characteristics provided by metallic structures. Current-carrying conductors, such as hydraulic tubing, cables, and metal wire trays should be grounded electrically at all bulkheads. This attenuation curve is not appropriate for equipment installations more appropriately described by the definition of CAT V, W, and Y locations.
- (5) <u>CAT T</u>. This attenuation curve can be used when the equipment under consideration, all interfaces to and from equipment, and the wire bundles are contained entirely within a well-protected environment, defined as an electromagnetically enclosed area. This attenuation curve is not appropriate for equipment installations more appropriately described by the definitions of CAT U, V, W, and Y locations.
- c. Different attenuation curves may be appropriate for different frequency ranges. For example, CAT Y may be used for the frequency range of 100 MHz to 400 MHz, Cat W for the frequency range of 400 MHz to 1 GHz, and CAT V for the frequency range of 1 GHz to 18 GHz.

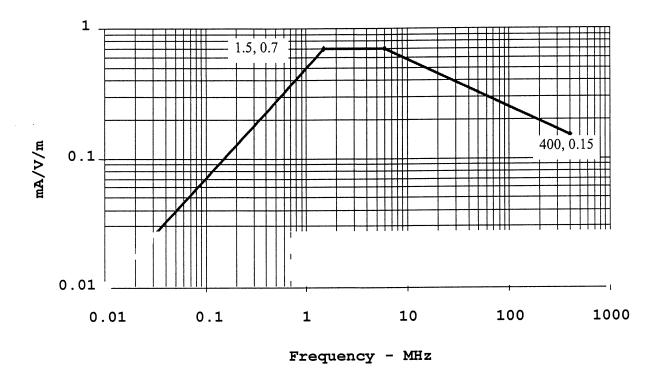
- d. Airframe manufacturers can produce their own generic transfer function and attenuation curves based on measurements on their aircraft models and use these in their compliance submission in place of the generic curves specified in this appendix. This would be advantageous because they will provide a more accurate reflection of the true internal environment for their aircraft.
- e. The User's Guide should be consulted for details on the use of generic curves.

FIGURE A-1 - Generic Transfer Function - Airplane



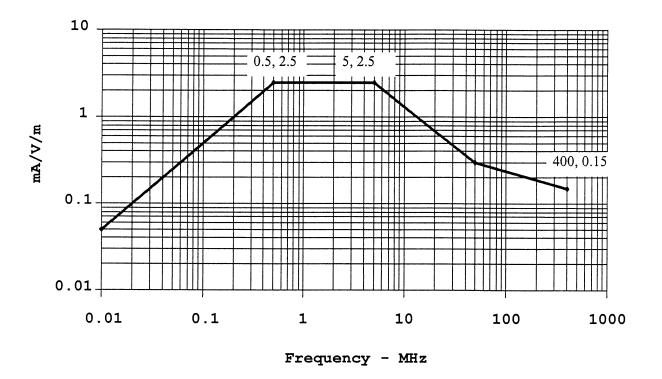
Generic transfer function curve normalized to 1 V/m for an airplane with a fuselage length of <25m.

FIGURE A-2 - Generic Transfer Function - Airplane



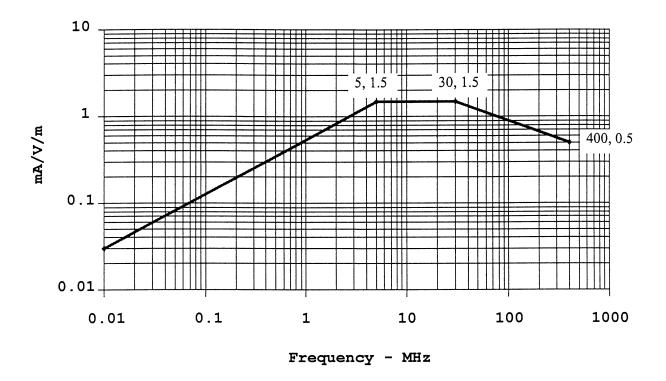
Generic transfer function curve normalized to 1 V/m for an airplane with a fuselage length of >25m and <50m.

FIGURE A-3 - Generic Transfer Function - Airplane



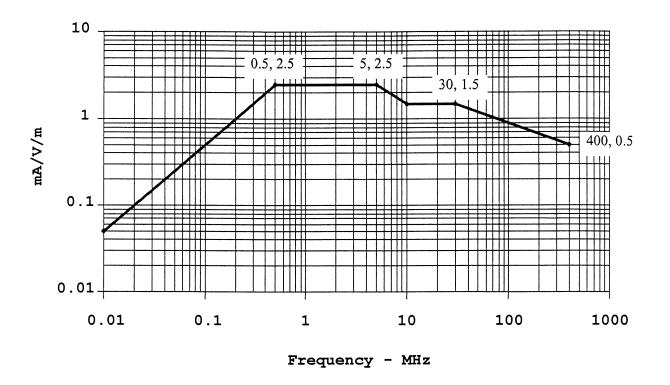
Generic transfer function curve normalized to 1 V/m for an airplane with a fuselage length of >50m.

FIGURE A-4 - Generic Transfer Function - Rotorcraft



Generic transfer function curve normalized to 1 $\ensuremath{\text{V/m}}$ for a rotorcraft.

FIGURE A-5 - Generic Transfer Function - All Aircraft



Generic transfer function curve normalized to 1 $\ensuremath{\text{V/m}}$ for all aircraft.

FIGURE A-6 - GENERIC ATTENUATION CURVES - All Aircraft

